CBP DOCUMENT VALIDATION

U.S. CUSTOMS AND BORDER PROTECTION

CBP Document Validation Overview

The CBP Document Validation Program is the next generation in traveler data transmission providing **Real-Time Document Matching** and **Interactive Response Messaging** to seamlessly ensure that legitimate travelers are able to enter the country while maintaining a positive traveler experience.

- CBP Document Validation leverages the Advance Passenger Information System (APIS), which is CBP's system for providing an efficient means for air carriers to communicate traveler manifest data.

CBP Document Validation Capabilities

Real-Time Document Matching
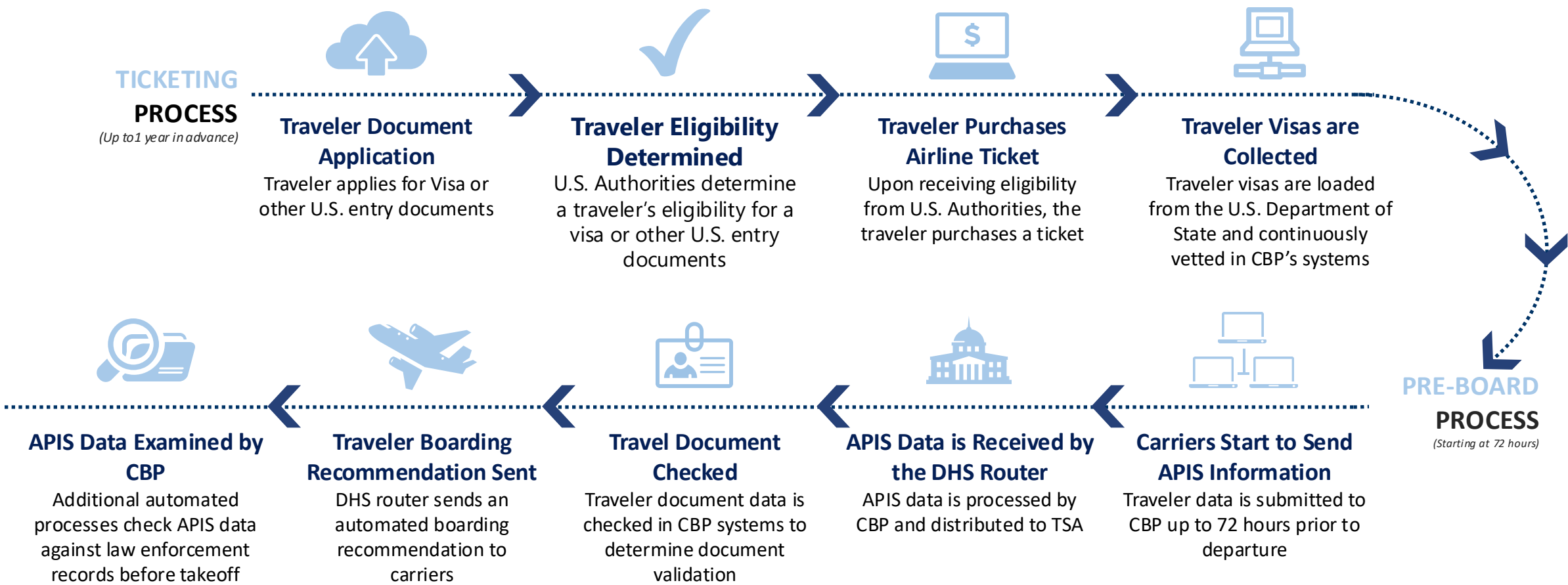Using CBP records on file, real-time matching generates automated boarding recommendation in seconds.

Interactive Response Messaging
Upon determining a boarding recommendation for a traveler, CBP sends an instantaneous response to the carrier.

CBP Document Validation Process and Operations

TICKETING
PROCESS
(Up to 1 year in advance)

Traveler Document Application
Traveler applies for Visa or other U.S. entry documents

Traveler Eligibility Determined
U.S. Authorities determine a traveler's eligibility for a visa or other U.S. entry documents

Traveler Purchases Airline Ticket
Upon receiving eligibility from U.S. Authorities, the traveler purchases a ticket

Traveler Visas are Collected
Traveler visas are loaded from the U.S. Department of State and continuously vetted in CBP's systems

PRE-BOARD
PROCESS
(Starting at 72 hours)

APIS Data Examined by CBP
Additional automated processes check APIS data against law enforcement records before takeoff

Traveler Boarding Recommendation Sent
DHS router sends an automated boarding recommendation to carriers

Travel Document Checked
Traveler document data is checked in CBP systems to determine document validation

APIS Data is Received by the DHS Router
APIS data is processed by CBP and distributed to TSA

Carriers Start to Send APIS Information
Traveler data is submitted to CBP up to 72 hours prior to departure

CBP Document Validation Program Benefits

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1. Streamlined Operations
Document Validation streamlines operations by offering reduced manual processing, decreased airport wait times, and improved APC kiosk interfacing/biometric matching.

2. Heightened Security
Document Validation reduces exposure to invalid or erroneous documents, improves APIS data quality, and reduces system errors with system generated responses.

3. Reduced Penalties
Document Validation reduces penalties associated with invalid traveler information and limits the number of non-cleared travelers returned to their place of origin.

4. Enhanced Traveler Experience
Document Validation enables expedited travel by reducing the likelihood of data errors resulting in false matches, reducing time spent with CBP upon arrival.

CBP Document Validation Value Proposition

$10,000/person	**Only 20**	**99%**
Saved in penalties to return each inadmissible traveler identified through Document Validation	Out of every 100,000 Visa Waiver Program (VWP) travelers require manual processing by the airline	Of travelers are matched to a document on file

CBP Document Validation Participants

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77 carriers participate in the program

CBP Document Validation Participants

CBP Document Validation Participants

10 Service providers to equip carrier systems for CBP Document Validation

Over 60% of arriving air travelers are cleared by Document Validation

Getting Started with CBP Document Validation Program

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For carriers looking to to get started with the CBP Document Validation Program, CBP has designated a program manager to guide carriers along the way.

The following steps can be taken to activate the Document Validation Program for your flights:

- Reach out to **CBP Document Validation Program Manager**, Jessica Brigantty, and express interest in enrolling in the CBP Document Validation Program

- Reach out to your **service provider to get provisioned** to begin using the CBP Document Validation Program

- Begin to the explore CBP Document Validation Program by double clicking the icons hyperlinked below:

Document Validation Resources

For more resources about CBP's Document Validation Program access the following resources:

Microsoft Word
Document

Boarding Recommendations

Provides an overview of the potential CBP responses and subsequent boarding recommendations

Document Validation Troubleshooting Tips

Provides a quick tips for troubleshooting the CBP Document Validation Program

CBP Document Validation Program Regulatory Process

CBP Document Validation Program Notice of Proposed Rulemaking

In an effort to support border operations over the next decade, on February 2, 2023, CBP published a comprehensive update to APIS regulations.

As with previous APIS implementations, the Regulation proposes to update 19CFR 122.49(a) and 122.75(a) for arriving and departing commercial airline passengers in an effort to transition the Document Validation Program from a voluntary program to a mandatory program.

The NPRM process allows for public comment on the proposed new requirements.

The NPRM covers:

Document Validation – requirements for the program

Dual Nationals - optional transmission of additional documents for dual/multi nationals to more efficiently clear travelers

Second occurrence of a name – optional submission of a second name, such as a married name or name slightly different than the passport on another travel document

Additional data elements – including e-mail, 2 phone numbers and street address to meet both CBP and CDC needs

Tail Number – if available, for future developments of the electronic GenDec

QUESTION AND ANSWER

Lisa Caselii

CBP DOCUMENT VALIDATION PROGRAM MANAGER

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Office of Field Operations (OFO)

Admissibility and Passenger Programs (APP)

External Engagements and Initiatives (EEI)

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