







# Baggage Tracking IATA Resolution 753/A4A Resolution 30.53 Implementation Guide

1 INTE	RODUCTION	5
2 EXE	CUTIVE SUMMARY	7
3 RES	OLUTION 753	8
	URRENT RESOLUTION OMPLIANCE WITH RESOLUTION 753/30.53	8
4 DISC	CLAIMER	10
5 GLC	SSARY OF TERMS	11
6 BAG	TRACKING OPTIONS	14
6.2 DE 6.3 W 6.4 W 6.5 PG 6.6 EN	ORE TRACKING POINTS EFINITION OF A TRACKING POINT HAT IS RECORDED AT A TRACKING POINT /HERE AND HOW CAN A TRACKING POINT BE RECORDED OTENTIAL RECORDING METHODS ND TO END BAGGAGE TRACKING RACKING IRREGULARITIES OPERATIONS	14 17 17 18 21 24
7 BAG	GAGE DATA EXCHANGE	26
	HEN TO EXCHANGE BAGGAGE TRACKING DATA OW TO EXCHANGE BAGGAGE TRACKING DATA	26 27
8 REA	LISING THE BENEFITS OF BAGGAGE TRACKING	31
8.2 M 8.3 En 8.4 IM 8.5 FA 8.6 FF	REVENTING BAGGAGE MISHANDLING ISHANDLING ROOT CAUSE ANALYSIS ISURING FAIRER PRO-RATIONING OF MISHANDLED BAG CHARGES PROVE ON-TIME DEPARTURE ASTER MISHANDLED BAGGAGE REPATRIATION RAUD PREVENTION/REDUCTION	31 32 34 34 34 35
8.8 BE	EASURING BAGGAGE PERFORMANCE ETTER PASSENGER EXPERIENCE AGGAGE TRACKING DATA TO INTERNAL AIRLINE STAFF	35 36 37

9 BAGGAGE TRACKING PARTNERS	39
<ul> <li>9.1 POTENTIAL TRACKING DATA PROVIDERS</li> <li>9.2 AIRPORTS</li> <li>9.3 INTERLINE AIRLINES</li> <li>9.4 GROUND HANDLERS OR HANDLING PARTNERS</li> </ul>	39 40 40 40
10 BEST PRACTICE FOR INFRASTRUCTURE	41
10.1 EVALUATING TRACKING STRATEGIES 10.2 CASE STUDIES	41 42
11 DATA CHARTER	43
12 APPENDIX A – FREQUENTLY ASKED QUESTIONS	45
13 APPENDIX B - SAMPLE PROCESS VIEWS	53
<ul> <li>13.1 ACCEPTANCE PROCESS</li> <li>13.2 LOAD PROCESS</li> <li>13.3 TRANSFER PROCESS</li> <li>13.4 ARRIVAL PROCESS</li> </ul>	53 54 55 56
14 APPENDIX C – CASE STUDIES	57
<ul> <li>14.1 CASE STUDY – AIR FRANCE</li> <li>14.2 CASE STUDY – ETIHAD AND LUGGAGE LOGISTICS</li> <li>14.3 CASE STUDY – FRA/MUC/VIE/ZRH AND LUFTHANSA GROUP</li> <li>14.4 CASE STUDY - INTERLINE DELIVERY AND ACQUISITION AT LOS ANGELES INTERNATIONAL AIRPORT (LAX)</li> </ul>	57 60 62 71
15 APPENDIX D – TREATMENT OF IRREGULARITIES OPERATIONS	72
<ul> <li>15.1 MISHANDLED BAGGAGE</li> <li>15.2 DEPARTURE GATE BAGS</li> <li>15.3 ARRIVAL GATE BAGS</li> <li>15.4 TAG-LESS BAGS</li> </ul>	72 73 73 73
16 APPENDIX E – BAGGAGE TRACKING ACTION SHEET	74
17 APPENDIX F - RESOLUTION 753/30.53 AND AIRPORT CHARGES	80

18	LIST OF IATA STRATEGIC PARTNERS	81
19	LIST OF CONTRIBUTORS	83
20	LIST OF RESOURCES	84

PROJECT TITLE:	Baggage Tracking, IATA Resolution 753/A4A Resolution 30.53 Implementation Guide
Issue	Issue 3.0
Last Amendment Date:	3 <sup>rd</sup> November 2017
Owned and reviewed by	Joint IATA 753/A4A 30.53 Implementation Sub Working Group
Recommended by	Baggage Working Group (BWG)
Endorsed by	Airport Services Committee (ASC)
Prepared by	Joint IATA 753/A4A 30.53 Implementation Sub Working Group

**DISCLAIMER.** The information contained in this publication is subject to constant review in the light of changing government requirements and regulations. No reader should act on the basis of any such information without referring to applicable laws and regulations and/or without taking appropriate professional advice.

Although every effort has been made to ensure accuracy, neither the International Air Transport Association, Airlines for America nor ACI World shall be held responsible for loss or damage caused by errors, omissions, misprints or misinterpretation of the contents hereof.

Furthermore, the International Air Transport Association, Airlines for America and ACI World expressly disclaim all and any liability to any person, whether a purchaser of this publication or not, in respect of anything done or omitted, and the consequences of anything done or omitted, by any such person in reliance on the contents of this publication.

No part of the Baggage Tracking, IATA Resolution 753/A4A Resolution 30.53 Implementation Guide may be reproduced, recast, reformatted or transmitted in any form by any means, electronic or mechanical, including photocopying, recording or any information storage and retrieval system, without the prior written permission from:

International Air Transport Association 33 Route de l'Aéroport, P.O. Box 416 1215 Geneva, 15 Aéroport, Switzerland © IATA 2017

## 1 Introduction

Baggage is one of the key customer satisfaction elements for airlines. A failure to deliver the passengers' baggage will result in the memories of the nice inflight product and good service in the air being forgotten very quickly. The costs associated with repatriating the bag with the passenger, estimated by IATA at \$100 per bag, will also quickly eat into the margins for the journey, and at the same time the additional work needed by your staff to deal with the customer, the bag and the other airlines / airports involved will use up the time of valuable resources. Despite the fact that mishandling has reduced by more than half since the 2007 peak, we still spent \$2.3 billion in 2015 just settling claims and repatriating baggage – that is \$0.65 for every passenger that flew; and even though the rate of mishandling is decreasing, the overall cost to the industry is still increasing in many cases due to the growth in the number of passengers and bags.

It is clear that our industry must continue to strive towards lower mishandling and better service. One of the key elements, shocking in its absence from the industry today, is the capability to track a bag throughout its journey. If baggage processing really was a factory, then we currently live in a world where the factory has no information on the goods inwards, the processes to be applied and no idea when the finished product is delivered to the customer. No real world factory could exist with such a lack of information, yet the aviation industry is in exactly this situation for baggage. It is a credit to all the people working in baggage that the mishandling rates are so low, and that they continue to drop.

Baggage tracking is a key way that our industry can continue to drive down costs and improve service at a fundamental level. It is also central to having a capability where airlines can obtain the information needed for passengers when their bags are mishandled regardless of which carriers were involved in the carriage of the bag. Resolution 753 is mandatory for all members, and demands tracking in key locations. The aim of the resolution is to reduce mishandling and therefore increase passenger satisfaction by first recording and subsequently exchanging baggage tracking information.

It is with great pleasure, that IATA presents this guide to implementing baggage tracking according to Resolution 753/30.53. Whilst the principle of 753/30.53 is very simple – track bags through the baggage factories, onto aircraft and back to passengers - the implementation can be daunting. Inside this guide you will find all the information needed to assist you in implementing tracking across the airline route network and within individual airports, including best practices for operations of all sizes. It includes a description of each of the key recording technologies available to the industry today, how data can be shared between the parties involved in carrying the bag, and what the responsibilities of each party are. The guide has been produced by the IATA 753/A4A 30.53 Implementation Sub Working Group, whose members from airlines, airports, ground handlers and IATA Strategic Partners bring a wealth of practical information on how to be successful at tracking.

IATA remains at the forefront of the battle against mishandled bags, and can be contacted with any questions you have through baggageservices@iata.org. A better alternative, though, would be to play an active role in the Joint IATA 753/A4A 30.53 Implementation Sub Working Group, where the initial resolution for tracking originated and where many exciting future developments for our industry are first discussed. Please visit www.iata.org to learn how to participate.

Andrew Price Head, Global Baggage Operations, August 2016

# 2 Executive summary

IATA Resolution 753/A4A Resolution 30.53, active from June 2018, is intended to encourage airlines to further reduce mishandling by implementing cross-industry tracking for every baggage journey.

The resolution itself is simple, but IATA, A4A and ACI World understand that the implementation of baggage tracking can be a complex process. Reducing the number of mishandled bags is a common goal for everyone in the aviation industry; in today's world, airlines cannot be expected to blindly implement new processes without understanding the benefits of implementing these. A further complication is the role of airports and ground handlers – the resolution places an obligation on IATA and A4A member airlines: nevertheless in many cases, those airlines will be looking to the airports from which they operate, and the ground handlers who load their bags, to provide the data that they need.

This document is therefore aimed at airlines, airports, or any other party with an interest in helping airlines to meet their obligations under Resolution 753/30.53. It attempts to help the reader break down the overall topic of 'network wide baggage tracking' into a set of manageable topics, and to address each of them in turn:

- 1. What is meant by 'Baggage Tracking'; what data needs to be recorded, the timeliness of that recording and the potential methods to be used.
- 2. What is meant by 'exchange of information' as well a discussion around timeliness of exchange and the mechanisms that can be used.
- 3. A discussion of how tracking and data exchange can be used to achieve the benefits outlined in the resolution
- 4. A look at how Baggage Tracking partners can work together to implement a cost effective compliance to the resolution
- 5. A discussion on Best Practice, and how tracking strategies could be evaluated.

The document also includes a Data Charter, which outlines the conditions that should be met when airlines are sharing data with each other; a number of Appendices outline some Frequently Asked Questions covering topics such as communication, collaboration and implementation; some Case Studies which outline real-world examples relevant to resolution 753; and some additional information such as standard process views and how irregular operations might affect tracking.

It is important to remember that this document is intended to provide guidance on how an airline might meet their obligation, and how an airport might support their airlines in doing so. It is a guide; it is not a set of instructions. Many of the recommendations in this document might not be appropriate for every airline; on the same note, the approach to recording tracking data may differ across an airline's network depending on the infrastructure available and the size of the operation. Airlines can – and should – analyse their baggage operation before embarking on a program to implement any of the recommendations in this guide. That said, airlines must, as a minimum, be capable of recording and sharing tracking data as required by the resolution.

This implementation guide has been written by members of the Joint IATA/A4A Baggage Working Group. It is a living document which will be periodically updated.

## 3 Resolution 753

#### 3.1 **Current resolution**

Agreed version of IATA Resolution 753 effective 1 June 2018 as per 37th PSC Manual (published June 2017) \*:

#### RESOLVED that:

IATA members shall maintain an accurate inventory of baggage by monitoring the acquisition and delivery of baggage. "Baggage"/"Bag" means the property, as defined in applicable tariffs, of a passenger, carried in connection with the trip for which passenger has purchased a ticket and which has been checked in.

#### 1. Purpose

Accurate baggage inventories will:

- prevent and reduce mishandling by determining custody of every bag during different phases of baggage chain,
- increase passenger satisfaction, as mishandling is reduced,
- reduce the possibility of baggage fraud by closing the baggage journey,
- enable exceptions to be detected where baggage is delivered to a party, but not processed further,
- speed up reconciliation and flight readiness for departing flights,
- help measuring compliance to SLAs,
- Provide evidence to an automatic interline proration process

#### 2. Member Obligations

Members shall be able to:

- (1) Demonstrate delivery of baggage when custody changes;
- (2) Demonstrate acquisition of baggage when custody changes;
- (3) Provide an inventory of bags upon departure of a flight.
- Be capable of exchanging the above information (1..3) with other members or their agents as needed.

#### 3. Tracking requirements

The minimum set of recorded tracking points shall be:

- 3.1 Acquisition of the bag from the passenger by the member or its agent
- 3.2 Delivery of the bag on to the aircraft
- 3.3 Delivery and acquisition of the bag between members or their agents when custody changes between carriers
- 3.4 Delivery of the bag to the passenger.

#### References

IATA Resolutions Manual: RP1800 and RP1745

\*Note: This text duplicates the text contained within A4A Resolution 30.53, as published in the A4A Trade Practices

## 3.2 Compliance with Resolution 753/30.53

Compliance is a loose term, as different carriers and providers of services have different versions of what they consider compliance to be. Compliance with the resolution can be demonstrated only by an airline and only to IATA. In general, IATA will not provide a statement of compliance for airlines; however, airlines wishing to demonstrate excellent tracking capability may request that IATA issue a compliance certificate for their operations, which will be valid for 1 year. This certificate does not exempt the airline from any obligations under Resolution 753/30.53.

# 4 Disclaimer

The baggage journey is in general a complex process which is always at the mercy of external influences. Airports are complex environments and can be affected by many issues, from exceptional weather and industrial action to failure of essential IT systems. Any of these issues can lead to disruptions, including the process of baggage tracking.

In 2014, IATA published the "Baggage Disruption Handling manual," available for free on the IATA website. The document is intended to help minimize the effect of disruptions to the baggage process. Following the guidelines contained in this document will help airport operators, airlines and ground handlers to:

- Assess the severity of a disruption event and decide how to deal with it
- Put an action plan in place to deal with unavoidable disruptions such as weather delays
- Put procedures in place to minimize the impact of avoidable disruptions such as IT failures

This Implementation guide for Resolution 753/30.53 is not intended to be a set of instructions; it has been created to provide examples of best practice in the aviation industry in relation to Baggage Tracking Resolution 753/30.53. Some of the recommendations in this document may not be appropriate for your airport or operation; some will need to be adapted in order to be applicable.

The document was created by the Baggage Tracking Sub-Group, which was formed by the Joint IATA/A4A Baggage Working Group. It comprises a number of experts from airlines, airports and airport suppliers who have combined their knowledge and expertise in order to create a set of baggage tracking recommendations.

The information contained in this publication is subject to constant review. The Baggage tracking Sub-Group would welcome feedback on the document; either on ways in which it can be improved, or, more importantly, on how you have used the information contained within to implement your baggage tracking project. This document is intended to be a living document which will be periodically updated. Please provide your feedback by submitting the following form: <a href="Baggage Tracking Implementation Guide Feedback">Baggage Tracking Implementation Guide Feedback</a>.

Although every effort has been made to ensure accuracy, neither the International Air Transport Association, Airlines for America nor ACI World shall be held responsible for any loss or damage caused by errors, omissions, misprints or misinterpretation of the contents hereof. Furthermore, IATA, A4A and ACI World expressly disclaim any and all liability to any person or entity, whether a reader of this publication or not, in respect of anything done or omitted, and the consequences of anything done or omitted, by any such person or entity in reliance on the contents of this publication.

# **5 Glossary of Terms**

**ACCEPTANCE** - the initial transference of possession, control and/or security of checked baggage from a passenger to a member or its agent for carriage.

**ACQUISITION** - the acceptance of baggage by a member or its agent

**ARRIVAL FACILITY** - the final delivery point at which time the transference of possession, control, and/or security of checked baggage is returned to the passenger.

**AS NEEDED** – the exchange of data between airlines involved in a bag's journey should be defined between those airlines involved in that journey. See Section 7 for a further discussion on Data Exchange.

**BAGGAGE CART or TROLLEY -** temporary load device used to transport bags from the baggage area to the ramp (or vice versa) for loose load aircraft or holds

**BAGGAGE**, **CHECKED** (equivalent to "registered luggage") - baggage placed in the care and custody of an airline\_by a passenger, for which the passenger has purchased a ticket and been checked in, and for which the airline has issued a baggage tag; this extends to include baggage accepted as checked at a departure gate if said baggage will only be returned to the passenger at an arrivals facility.

**BAGGAGE MESSAGES** - Baggage information messages transmitted by members, which may include the Baggage Transfer Message (BTM), Baggage Source Message (BSM), Baggage Processed Message (BPM), Baggage Unload Message (BUM), Baggage Not Seen Message (BNS), Baggage Control Message and Baggage Manifest Message (BMM), and Baggage Request (BRQ) as described in PSC RM RP1745.

**BAGGAGE TRANSFER** - Baggage arriving at a point on one flight and continuing its journey on another flight within a defined time limit.

**BULK HOLD** – The area in an aircraft where bags are loose loaded (loaded individually), rather than in a Unit Load Device.

**CHANGE IN CUSTODY** - the transference of possession, control and/or security of checked baggage from a member or its agent to another member or its agent.

**CUSTODY** - the assumption of possession, control and/or security of checked baggage by a member or its agent

**DATA EXCHANGE** - the exchange of data between a user and a system; two users; two systems; or a number of systems.

**DELIVERY** - the carriage of checked baggage from the airport of origin to either the airport of destination or the local address as provided by the passenger.

**DEMONSTRATE** - the capability to produce a record as needed.

**EVENTS** - demonstration of delivery and/or acquisition of checked baggage when custody changes, and/or the provision of an inventory of checked baggage upon departure of a flight.

**EXCEPTION PROCESSING** - identification of baggage that has had a change in custody but did not progress further due to the separation of the baggage from the passenger's ticketed itinerary and requires Expedition/RUSH to the passenger's final destination.

**EXPEDITE BAGGAGE or RUSH BAGGAGE** - baggage that has become separated from its passenger and requires manual expedition via reflighting to the passenger's final destination.

**EXTENSIBLE MARKUP LANGUAGE (XML)** - a markup language that defines a set of rules for encoding documents in a format that is both human readable and machine readable, as defined by the W3C's XML specification and other related specifications, all of which are free open standards.

**INJECT** - the introduction point of checked baggage into any applicable baggage system

**INVENTORY** - an accurate count of all checked baggage which is either accepted or acquired by a member or its agent for carriage in connection with the trip for which a passenger has purchased a ticket and which has been checked in.

**LPN** - License Plate Number. The LPN serves to identify the respective piece of baggage in Automated Baggage System (ABS) and Departure Control Systems (DCS) and acts as a key to access the data exchanged in Baggage Information Messages as defined in RP1745. Also referred to as a 10 digit bar code or 10 digit bag tag.

MISHANDLED BAGGAGE - baggage that is damaged, delayed, lost or pilfered.

**PRORATION** - division of a joint fare, rate or charge between the carriers concerned on an agreed basis.

**RECONCILIATION** - the verification of baggage belonging to passengers who are travelling on that specific flight or baggage that has been subjected to other security control measures in compliance with regulatory requirements (e.g. ICAO Annex 17)

**RECORD** - to set down in writing or some other permanent form the acceptance, acquisition, or change in custody of checked baggage for later reference.

**RECORDING** – the action of taking a record

**SCAN** - the traversing by a detector or an electromagnetic beam of a barcode or other machine-readable identifier for the purpose of identification.

**SERVICE LEVEL AGREEMENT (SLA)** - a document in which the service levels to be provided by a third party ground handler and the service levels expected by the member are mutually agreed.

**TAIL-TO-TAIL** or **FIN-TO-FIN** - the transfer of baggage, freight and mail from aircraft to aircraft without an intermediate point.

TEN DIGIT BAR CODE / TEN DIGIT BAG TAG- see LPN (License Plate Number)

**TRACKING POINT** - any place or position in which a baggage record is created to identify time and location.

**UNIT LOAD DEVICE (ULD)** - a pallet or container used to load baggage, freight and mail on wide-body aircraft and specific narrow-body aircraft.

**XML** – see Extensible Markup Language (XML).

# **6 Bag Tracking Options**

This section describes the four mandatory tracking points required in order to comply with Resolution 753/30.53. It defines what a tracking point is, and describes what is recorded and at which locations. There are examples of recording methods and a review of the technologies, which can be used to provide the tracking points as well as considerations for using each of the listed technologies.

## 6.1 Core tracking points

Airline obligations under Resolution 753/30.53 are:

- Demonstrate delivery of baggage when custody changes;
- Demonstrate acquisition if baggage when custody changes;
- Provide an inventory of bags upon departure of a flight.

Demonstrate
DELIVERY of
baggage when
custody changes\*

Demonstrate
DELIVERY of
baggage when
custody changes\*

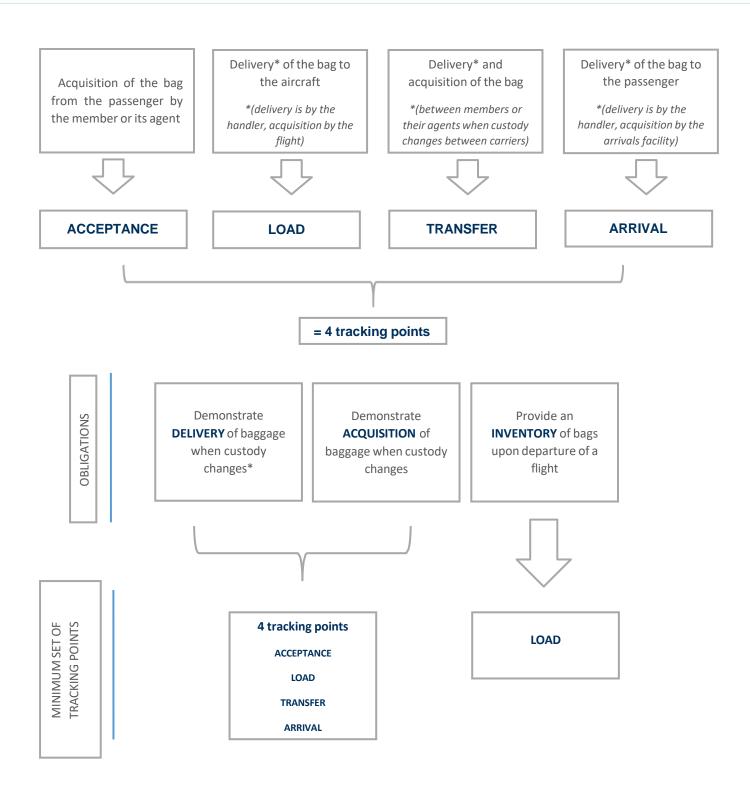
Provide an

INVENTORY of bags
upon departure of a
flight

These obligations translate to the minimum set of recorded tracking points as referred in section 3 – tracking requirements of the Resolution 753/30.53 as follows:

- Acquisition of the bag from the passenger by the member or its agent → Acceptance
- Delivery of the bag on to the aircraft →Load
- Delivery and acquisition of the bag between members and their agents when custody changes between carriers →Transfer
- Delivery of the bag to the passenger → Arrival

<sup>\*</sup> Change in custody: the transference of possession, control and/or security if checked baggage from a member or its agent to another member or its agent.



<sup>\*</sup> Change in custody: the transference of possession, control and/or security if checked baggage from a member or its agent to another member or its agent.

The 4 mandatory tracking points are reflected in the baggage journey as showed below.



Please note that Acceptance will occur when the baggage is placed in the care and custody of an airline by a passenger as defined under section 5 – glossary of terms for "baggage, checked". An acceptance process is outlined in sub section 13.1 Appendix B – Sample Process Views. Additionally, acceptance covers all bags including oversized bags.

The four tracking points have been chosen because they form the minimum set of points that allow to record every bag as it enters and leaves the airport.

A tracking point for the check-in (e.g. at bag drop)<sup>1</sup> will tell the airline how many pieces of baggage have been accepted, and a tracking point at aircraft loading<sup>2</sup> will tell the airline that the bag has departed the originating airport on a particular flight. This is not the same as reconciliation, which confirms that the bag and the passenger are on the same flight.

When a bag is transferred<sup>3</sup> through a subsequent station then there should be a further event representing that the bag has been seen at the transfer process of the airport. This event tells the airline that the bag is at the transfer station, and is important as it confirms the bag is one that should be available for the next flight. A baggage loader often has a list of expected baggage for a flight, and if a bag is missing he is unable to determine if the bag is available at the airport or missed its inbound flight.

The last point of tracking is at the arrivals carousel at the final destination<sup>4</sup>. This tracking event tells the airline that the baggage journey is over and that the bag has been delivered. It is the only way that the

<sup>&</sup>lt;sup>1</sup> Please note that when a physical scan is not feasible an electronic baggage information message that represents a physical acceptance is acceptable (e.g. active BSM, BPM).

<sup>&</sup>lt;sup>2</sup> A load process is outlined in sub section 13.2 Appendix B – Sample Process Views.

<sup>&</sup>lt;sup>3</sup> A transfer process is outlined in sub section 13.3 Appendix B – Sample Process Views.

<sup>&</sup>lt;sup>4</sup> An arrival process is outlined in sub section 13.4 Appendix B – Sample Process Views.

airline can prove to passengers making a claim that the bag was really delivered to the reclaim carousel. A tracking event for loading the bag onto the inbound flight is not sufficient as this does not cater for bags that are accidently delivered to the transfer area, cargo area or offloaded.

With a tracking point at arrivals it is also possible to capture bags sent to arrivals in error, and of course the transfer tracking point can perform the same function for bags sent to transfer in error. Baggage runners nay then be sent to collect these bags and ensure they are sent through the correct processes.

## 6.2 Definition of a tracking point

In baggage tracking terms, a tracking point is when data is recorded about a bag. This is often tied to a physical location (e.g. a scan at an ATR on a claim line), but it may also be a record of an action in a system (e.g. a record that the passenger dropped off their bag). Resolution 753 specifies the four core tracking points that must be recorded for compliance with the Resolution. Recording a tracking point refers to the action of setting down in writing or other permanent form the acceptance, acquisition or change in custody of a bag for later reference.

## 6.3 What is recorded at a tracking point

## 6.3.1 Primary data elements

The ten digit bag tag number is mandatory in all cases when recording tracking points. Time would also be recommended especially in case of offline scanning.

## 6.3.2 Secondary data elements

The following items should also be recorded where available.

- Passenger Name
- PNR
- Outbound flight number and date
- Inbound flight number and date
- Onward flight number and date
- Security/Sequence Number
- Tracking action/event
- Time and date of recording
- Station of recording
- Recording Location/device (physical location)
- Container ID (ULD, Trolley or Cart)

If the recommended data elements are available by association, it does not need to be repeated; for example, recording the ten digit bag tag and the flight number/date would enable the passenger name and PNR to be obtained from a reservations system.

## 6.4 Where and how can a tracking point be recorded

The following tables describe the possible tracking points where custody change can be recorded, the recommended data element for the tracking points and examples of recording methods.

The tracking point can only be used as change of custody if all the recommended elements are available. Airlines should aim to record as many tracking points as are available.

## 6.4.1 Recording ACCEPTANCE

Possible tracking point locations	Recommended data elements to record	Example of how to record the tracking point	
Check-in Counter (airport / off airport)  LPN, Outbound Flight, Station, Time		Manual or handheld scanner (barcode, OCR, RFID)	
Bag Drop  LPN, Outbound Flight, Station, Time  Self-service bag		Self-service bag drop reader (barcode, OCR, RFID)	
Security Screening	LPN, Outbound Flight, Station, Time	Fixed inline scanner (barcode, OCR, RFID) Handheld scanner (barcode, OCR, RFID) Manual entry (e.g. on a fixed or mobile workstation associated with the screening system)	
BHS	LPN, Outbound Flight, Station, Time	Fixed inline scanner (barcode, OCR, RFID) Handheld scanner (barcode, OCR, RFID) Manual entry (e.g. on a fixed or mobile workstation associated with the screening system)	
Gate	LPN, Outbound Flight, Station, Time	Manual or handheld scanner (barcode, OCR, RFID)	
BRS	LPN, Outbound Flight, Station, Time	Manual or handheld scanner (barcode, OCR, RFID)	

#### Notes:

- In general, the tracking point should be recorded as early as possible after the physical handover from the passenger; this applies especially to cases where downstream systems (e.g. BHS, Security Screening, BRS, etc.) are being used to indicate acquisition.
- For gate tracking points, this would be for bags accepted by the airline at the gate, such as mobility aids or carry-on luggage that cannot remain in the cabin (e.g. due to space or item size limitations).

## 6.4.2 Recording LOAD

Possible tracking point locations	Recommended data elements to record	Example of how to record the tracking point	
Bag bulk loaded into hold	LPN, Outbound Flight, Station, Tracking Action	Handheld scanner or fixed belt loader reader (Barcode, RFID)	
Bag loaded into ULD (See note)	LPN, Outbound Flight, Station, Tracking Action, Container ID	Manual or handheld scanner (barcode, OCR, RFID)	
ULD position in hold (See note)	Outbound Flight, Station, Tracking Action, Container ID (plus all associated LPNs)	Data entry into a BRS (manual, barcode, RFID)	
Bag loaded into trolley/ cart (See note)	LPN, Outbound Flight, Station, Tracking Action, Container ID	Manual or handheld scanner (barcode, OCR, RFID)	
Trolley/cart load into hold	Either: Outbound Flight, Station, Tracking Action, Container ID (plus all associated LPNs);	Data entry into a BRS (manual, barcode or RFID)	
(See note)	Or: LPN, Outbound Flight, Station, Tracking Action (2 step process)	Handheld scanner or fixed belt loader reader (Barcode, RFID)	

#### Notes:

- If an airline records the "Delivery of Bag on to the Aircraft" tracking point by recording the loading the bag into a loading device (ULD, Baggage Trolley or Cart), there is a risk that the bag may not actually be loaded onto the aircraft; for example, the bag may fall out of the load device during transport, or the load device may not be actually loaded/transferred onto the aircraft. The airline should therefore mitigate this risk by defining suitable operational processes. This could range from training to ensure loaders close curtains or other physical restraints to prevent bags from falling off, to implementing a two-step process for baggage trolleys or carts to scanning bags onto the load device and then repeating the scan as the bags are transferred into the hold.
- Tail to tail containers fall into the "ULD Position in Hold" tracking point, but tracking is performed by recording the ULD or container ID, and the inventory of bags in the container will need to be obtained from the originating carrier.

## 6.4.3 Recording TRANSFER

Change of custody from the delivering carrier to the receiving carrier must be agreed between the relevant parties. Ideally, recording the presence of the bag at a single agreed tracking point should act as both the demonstration of delivery and demonstration of acquisition. There may be one or more agreed tracking points, and they may vary depending on time or other operational factors (e.g. regular vs oversize bags).

When third parties are involved (e.g. interline ground handlers), recording of additional custody changes between agents acting on behalf of the same carrier is also recommended. See the case studies in Section 14 for more details.

Possible tracking point locations	Recommended data elements to record	Example of how to record the tracking point	
Bag custody change (carrier to carrier)	LPN, Inbound Flight, Outbound Flight, Station, Time, Recording Location	Depended on the chosen bag exchange tracking point	
Bag custody change (carrier to/from third party)	LPN, Inbound Flight, Outbound Flight, Station, Time, Recording Location	Depended on the chosen bag exchange tracking point	
Tail to tail transfer container	LPN, Inbound Flight, Outbound Flight, Station, Time, Recording Location, Container ID	Handheld scanner or fixed belt loader reader (barcode, RFID)	
Aircraft Unload	Bag tag number and location loaded (ULD or Bulk)	Handheld scanner or fixed belt loader reader (barcode, RFID)	
Transfer Point	Bag tag number and location transferred	Handheld scanner or fixed pier and claim reader (Barcode, RFID, OCR)	
BHS	Bag tag number and location transferred	Fixed sortation scanner (Barcode, OCR, RFID)	

## 6.4.4 Recording ARRIVALS

Possible tracking point locations	Recommended data elements to record	Example of how to record the tracking point	
Carousel	LPN, Inbound Flight,	Fixed inline scanner (barcode, OCR, RFID)	
Delivery	Station, Time	Handheld scanner (barcode, OCR, RFID)	
Special Bag Claim Delivery (e.g. oversize)  LPN, Inbound Flight, Station, Time		Handheld scanner (barcode, OCR, RFID)	
Direct delivery service to passenger	LPN, Inbound Flight, Station, Time, Recording Location, Tracking Action	Manual or handheld scanner (barcode, OCR, RFID)	

#### Notes:

• For direct delivery to passenger, this may be plane-side, on the jet-bridge, elsewhere at the airport, or off-site (e.g. direct delivery to hotel/cruise ship, delayed bags, etc.)

## **6.5 Potential Recording Methods**

Recording of tracking points can be achieved in a variety of ways. The section below covers the predominant methods used in both the aviation industry and elsewhere. Other considerations, such as the cost of manual labour, are also discussed.

## 6.5.1 Laser or Imager – Optical scanning

Laser or Imager scanning (Optical Scanning) is the most common recording method in the industry. Optical scanning requires barcode on the baggage tag and laser scanners or Imagers to scan the baggage. It can be either fixed laser/Imager scanners or handheld laser/Imager scanners. Optical scanners typically enables the transmission of data in real-time. IATA Resolution 740 defines the requirement for interline baggage tags supporting optical scanning.

Considerations: All baggage is today identified with a barcode, which makes optical scanning the
most common way of identifying baggage. Laser scanners or Imagers are widely implemented
through the aviation industry. A disadvantage of optical scanning is that it requires line of sight to
scan the baggage tag. Baggage tags can also be damaged through handling, so the readability
decreases during the bag journey. The cost of fixed scanners is relatively high, and labor costs
should also be taken into account.

## 6.5.2 Manual recording

Manual recording is a valid method for collection of tracking point data. Manual recording is typically done, when there is an IT-failure or the baggage tag have been destroyed to a degree, where it cannot be read automatically. As the tracking information is printed on the tag, it does not require information from external systems to obtain a manual reading / recording. Data is typically not shared in real-time, when using manual recording. IATA Resolution 740 defines the requirement for interline baggage tags supporting manual recording.

 Considerations: Manual recording can be done without any investment in scanner equipment or automated baggage handling systems. Manual recording is labor and time-intensive and has a higher risk of mis-recording than automated technologies. If the bag journey is changed, it is likely not captured using manual recording of the bags. In addition, use of bingo stickers and sheets may not be an option for airlines who use Electronic Bag Tags and/or Home Printed Bag Tags, so an alternative mechanism must be identified.

## 6.5.3 RFID scanning

Application of RFID for baggage identification is becoming more and more common in the aviation industry. RFID (Radio Frequency IDentification) uses a small chip in the baggage tag to identify and track the baggage; this can either be a disposable paper tag or permanent bag tag. Information is captured using either fixed or hand held RFID readers, and data is typically send in real-time. IATA Resolution 740 defines the requirement for interline baggage tags support RFID scanning and IATA RP 1740c defines the requirement for using RFID for baggage identification.

Considerations: RFID can be a cost-effective way to track baggage; however, the cost of both
infrastructure and tags should be taken into account - all baggage needs to be identified with an
RFID tag, which increases the cost of the label. RFID does not require line of sight to scan the tag,
so the read rates are typically higher compared to laser and OCR scanning. RFID can beneficially
be offered as complementary to barcode and OCR, if all bags are not identified with an RFID tag.

For more information, please refer to the "RFID For Baggage Tracking Business case 2016", at https://www.sita.aero/resources/type/white-papers/rfid-for-baggage-tracking

## 6.5.4 Optical Character Recognition (OCR)

Optical Character Recognition is utilizing image-based technology to identify baggage. It takes an image of a complete tag and, using the right algorithms, translates the picture into a LPN. Optical Character Recognition requires barcodes on baggage tags and OCR scanner to scan the baggage tag. OCR scanners are typically fixed mounted and sends data in real-time. IATA Resolution 740 defines the requirement for interline baggage tags supporting OCR scanning.

Considerations: The number of suppliers of OCR technology has increased in the last few years, which has a beneficial effect on cost. OCR has the advantage that should a barcode not be legible, the OCR reader can decipher the numeric tag which could prevent the bag being sent for manual inspection. Additional information such as flight number and passenger name can also help identifying and processing duplicate bag tags.

## 6.5.5 Other technologies: Bluetooth (BLE), NFC, Wi-Fi, GPS or other

Less prevalent in the industry are tracking technologies based on Bluetooth, NFC, Wi-Fi, GPS or other. These technologies could offer many of the same advantages as the more commonly used technologies, when applied to tracking. No IATA resolutions or RP defines the requirements for using these technologies for baggage identification.

 Consideration: While these techniques may be suitable for in-house tracking, the lack of standardization across baggage systems for these technologies may limit their usefulness. Usage of any active transmission technologies must demonstrate compliance to FAA Advisory Circular 91-21-C.

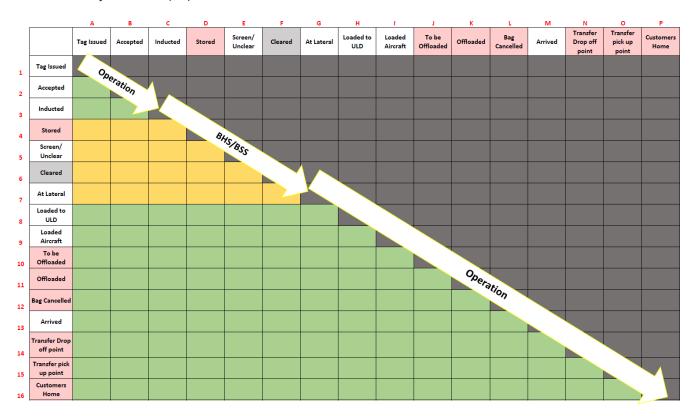
## 6.6 End to End Baggage Tracking

The below table illustrates a complete end to end baggage tracking for background information. Please note that several tracking points shown below go beyond the 753/30.53 requirements.

As well as recording the individual position of a bag at each stage in its journey, baggage tracking data can be used to measure overall performance from the start of the baggage journey to the final stage, when the bag is returned to the passenger.

**Stages 1 - 15** (A-O) are stages within the bag journey, which will provide the customer/airline a full account of all stages the bag will travel through until it arrives at the point of destination.

**Stage 16** (P) may occur if the bag is mishandled; in that case, it will be tracked to the customer's home/delivery address (13)



#### Legend:

- Cells in pink are sub states within the BHS/Loading and delivery process and reflect "status changes".
- Cells in yellow would be information hub airports would source from Airport systems BHS/BSS.
- Cells in green would be information from operational tools (e.g. CDS, BRS) and tracking tools.

## **6.7 Tracking Irregularities Operations**

There are many irregular scenarios that airlines, airports and ground handlers face during day to day operations during the handling of baggage, including mishandling baggage, tagless bags, gate bags, crew bags, out-of-gauge bags, arms and weapons, and more.

It needs to be stressed that Resolution 753 is not intended to cover all baggage and operational tracking processes of the IATA member carriers, but rather is intended to encourage the member carriers to ensure a minimum set of baggage handling actions are tracked (specifically, bag acceptance from passengers; custody exchange between carriers; bags loaded on departed aircraft; bag delivery to passenger).

Many types of irregular operations and baggage exceptions will be encountered at some point, but the requirements of the resolution do not distinguish between normally handled bags and those bags handled under exceptional circumstances or irregular operations. Each airline can address their more irregular baggage scenarios in their own manner, but when bags are encountered at the touch points described elsewhere in this document, their handling should be recorded in the same manner as regular bags.

Additional guidance and clarification for the treatment of some baggage irregularities can be found in Section 15.

# 7 Baggage Data Exchange

Resolution 753 has a distinct statement on the exchange of data among member airlines:

"Be capable of exchanging the above information (1..3) with other members or their agents as needed."

The purpose of this statement is to require IATA Member Carriers, when sharing the responsibility of handling interline bags, to exchange the tracking events defined in R753.

Generic information related to baggage data exchange can be found under section 12 Appendix A -Frequently Asked Questions on baggage data exchange.

## When to exchange baggage tracking data

Airlines may elect to exchange data with other parties at different times relative to the data being captured. This can be broken into two segments where data is used for on-demand activities or simply shared with another party where information security and commercial agreements are made.

The retention period of baggage tracking data should be long enough as per what is warranted under R753/R30.53. The length of time could vary depending on the usage of data. When the data usage if for proration purposes, it is recommended airlines retain the data for 3 years leaving enough time to close the cases.

#### 7.1.1 **On-Demand / Operational:**

- As each bag is processed: this is where a means of data exchange is used that allows the recording party (airline or airport) to deliver or make available to the other suitable stakeholders, in near real-time, as each bag is processed.
- On flight completion: the necessary data being exchanged could be accumulated and only exchanged on-block when the entire flight of bags has been fully processed (for example on flight departure, on last arrival bag track)

#### 7.1.2 **Reported Information:**

- Scheduled batch data delivery: This might involve a data delivery between two parties at distinct times in the day, week or month. The data of interest would be accumulated and delivered in batch during agreed time periods/frequencies.
- On request: it may be appropriate for some members to be capable to exchanging data with other stakeholders; but only on request with specific justification (for instance, a pro-rate claim).

For the receiver of the data, each approach regarding the timeliness of data exchange results in different degrees of opportunity to benefit from the data exchange and subsequent picture of the bag's journey.

	Benefits to data exchange recipient			
Benefits in Resolution 753	As each bag is processed	On Flight Completion	Batch data delivery	On request
Prevent and reduce mishandling by determining custody of every bag during different phases of baggage chain	Yes	Yes, via retrospective analysis	Yes, via retrospective analysis	Yes, via retrospective analysis
Increase passenger satisfaction, as mishandling is reduced	Yes	Yes, via retrospective analysis	Yes, via retrospective analysis	Yes, via retrospective analysis
Reduce the possibility of baggage fraud by closing the baggage journey	Yes	Yes	Yes	Yes, via retrospective analysis
Enable exceptions to be detected where baggage is delivered to a party, but not processed further	Yes	Yes, retrospectively	Yes, retrospectively	Yes, via retrospective analysis
Speed up reconciliation and flight readiness for departing flights	Yes	Yes, where information for inbound flights is available at time of departure	No	No
Help measuring compliance to SLAs	Yes	Yes	Yes	Yes, via retrospective analysis
Provide evidence to an automatic interline proration process	Yes	Yes	Yes	Yes

While any exchange of data can lead to the benefits stated in the resolution, each member must evaluate which timing of data exchange will benefit them the most, also considering the cost of implementation.

# 7.2 How to exchange baggage tracking data

Once agreement is reached on both the willingness to exchange baggage tracking data and the timeliness of that exchange, the final question in relating to data exchange is how it can be enacted. This generally falls into two additional questions:

- What technology is used to do the exchange
- The format the data should take

Note that to effectively manage the data exchange of the acquisition and delivery events, digital storage of this information is highly recommended. If a manual process is used for any of the tracking requirements consideration of how this information will be stored and exchanged with another member is advised.

#### 7.2.1 Data Exchange technologies

The following is a list of the typical options available to airlines and airport when wishing to exchange baggage tracking data:

- Data Delivery Brokers: In this case, a 3<sup>rd</sup> party broker is used to delivery electronic data, invariably having well defined formats for the information (e.g. RP1745 messages such as BPM, BMM, BCM) from an IT system of one party to an IT system of the other party. These brokers generally operate over well established and supported networks and protocols (e.g. MQ, Web Services).
  - Considerations: The main benefit of using a broker is the low maintenance in terms of connectivity, support, and continuity that would be required in maintaining direct links to all parties with whom data could be exchanged. A broker also generally enables real-time communication of tracking events. A disadvantage is that such data delivery services may come with usage charges. Furthermore, on-demand exchange of communication, where the request is also transmitted through the broker, is less commonly supported by brokers.
- Point to Point data links: Point-to-Point would generally require a bilateral agreement with the exchanging members establishing direct communication paths. Communication and message protocols can be standard (e.g. MQ, Web Services using RP1745 messages) or customized, based on the needs of the members doing the exchange.
  - Considerations: The benefits include the ability to establish direct communication using either standard or customized communication protocols and message formats, which can also more easily support additional data fields. Point to point data links support real-time communication and the ability to customize the link provides opportunity to implement on-demand communication. Although there may be a cost in setting up the links and the bilateral software, this is generally a cost effective way to exchange data with regular partners, though customizations to formats or request-response protocols may increase cost.
- Community repository: One party can collect, store, and provide access to baggage tracking
  data for multiple parties. The repository could be a common-use system (e.g. BRS or BHS) at
  an airport or a specific bag tracking data repository managed by an alliance or group of airlines.
  - Considerations: Although there may be little or no direct data movement between two
    parties, access through controls in a repository allows interested parties to connect and

extract their portion of the common data as and when they require. Depending on the controls, this could be done real-time, on a batch basis, or on an as-needed basis. As with any system with multiple parties, proper data-access permissions need to be enforced. In most instances, the party providing the common data generally has no substantial costs to making the data available, assuming the repository already has that capability, although the extracting party may have charges to pay (e.g. data delivery or extraction charges, etc.).

- **Email/Fax:** It may be a form of point-to-point, but email and fax are still technologies that are open to stakeholders to exchange data of common interest for Resolution 753 purposes.
  - Considerations: Although low to zero cost, email and fax do not support most of the goals of the Resolution. They can provide evidence in a manual proration process, but little else. This is primarily due to the additional manual effort on the part of the sender to prepare the information being exchanged, and the complexity for the receiving party to extract and make efficient use of the information.

#### 7.2.2 Data Exchange formats

The most important aspect of any message format chosen to exchange data is that both the provider and receiver of the exchanged data are working to a common understanding of the data arrangements within the format. Again, there are a number of typical options open for the exchanging of the baggage tracking data between parties.

- **RP1745 formatted messages:** BSMs, BPMs, BMMs are all possible formats for exchanging check-in, tracking, sorting, screening and loading data.
  - Considerations: This is the recommended message format for real-time or batch data exchange, e.g. on completion of flight processing. For data exchanges on-demand, however, this format may not be as effective.
  - Note: Important changes have been made to RP1745. Revisions include changes to '.J' processing information and also the processing information data element code set in attachment "A", along with a number of example messages<sup>5</sup>
- **Baggage XML messaging:** Baggage XML schema version 1 will be published in June 2017 as part of the IATA PADIS release<sup>6</sup>.
  - Considerations: Baggage XML will become a new data exchange format and will modernize the current legacy standards for baggage, which will lead to a better baggage performance and lower airline costs. Note that XML messaging formats are not yet in operational use.

<sup>&</sup>lt;sup>5</sup> The full text of RP1745 will be contained in the IATA Passenger Services Conference Resolutions Manual (PSCRM) 38th Edition published on 1st June 2018.

<sup>&</sup>lt;sup>6</sup> More information on IATA PADIS can be found at: <u>www.iata.org/padis</u>

- **CSV files:** CSV is a standard, flexible format, and is relatively easily processed by standard desktop tools, as well as being relatively human-readable.
  - Considerations: This is the recommended message format for emailed data exchange, if used. The structure of the data within the CSV would need to be defined and agreed-to between the members exchanging the data.
- Other structured data formats: Many other data structures can be used, particularly for point-to-point data links. Possibilities include XML and JSON.
  - Considerations: For point to point data links, if RP1745 messages are not used, the custom format will most likely fall into this category. Customizing the format in this way may allow for more efficient delivery, batch delivery, or to meet another specific need.
- **Paper/PDF:** Neither paper or PDF are generally suitable for automatic processing by electronic means and would generally be reserved (though not recommended) for when email or fax is the delivery protocol.
  - Considerations: The receiver will typically work manually with the data provided; further processing would be required in order to realize any but the most cursory benefit.

# 8 Realising the benefits of baggage tracking

Resolution 753/30.53 is not just intended as an obligation on member carriers, but more importantly, as a means of driving improvement for the individual carriers and within the baggage operations of the industry as a whole. This chapter outlines specific examples of how the current scope of Resolution 753/30.53 can actually deliver some of these benefits through the use of the tracking data beyond simply having the information on file for compliance. In reality, the benefits will be determined by the quality of data available.

The chapter covers the following topics showing different scenarios when applicable for illustration purposes:

- Preventing baggage mishandling
- Mishandling root cause analysis
- Ensuring fairer pro-rating of mishandled bag charges
- Improve on-time departure
- Faster mishandled baggage repatriation
- Fraud prevention/reduction
- Measuring baggage performance
- Better passenger experience
- Baggage tracking data to internal airline staff

#### 8.1 Preventing baggage mishandling

Many of the causes for mishandling baggage cannot be easily prevented, but there are situations where bags are physically at an airport as departure time approaches but its actual location is unknown to those with decisions to make.

#### 8.1.1 Scenario 1: Transfer bags delivered to arrivals hall.

A flight arrives, a transfer bag is mixed with terminating bags in a ULD and the loaders deposit the ULD content on the appropriate arrival belt. In the meantime, the departing flight is 45 minutes from departure and the bag has not been seen at makeup. End result: bag is left behind.

Clearly, if the loaders of the departure flight were aware that the transfer bag was on the arrival belt, they could dispatch someone to collect it before flight departure.

#### Preventing this mishandling

- Airport or Airline implements arrival scanning (automated or manual) to record the Resolution 753/30.53 tracking point – delivery of bag to passenger
- Data from this tracking point is transferred in near real time, via BPMs, to the local Baggage Reconciliation System (BRS) where the bag locations, contained in the BPMs, are recorded and presented for each bag.

 Baggage loaders, through the BRS become aware of the "last location" of the missing bag and are able to save the bag from mishandling, time permitting.

## 8.1.2 Scenario 2: Terminating bag in sorting system.

This is the reverse scenario, where a terminating bag is placed on the sorting system with transfer baggage rather than being placed on the arrival belt. When the passenger files the missing bag claim in the arrivals hall, unaware of the bag's presence at the airport, the agent completes the file and the cost of a mishandled bag will be incurred.

Avoiding this revenue leak.

- Airlines work with the airports (if necessary) to gain access to BPM data from the sortation process to cover their Resolution 753/30.53 obligation for recording the transfer of baggage between member carriers.
- Data from this tracking process is made available to the baggage tracing system so that when a tag number is entered, the agent is able to view the last activities recorded for that tag.
- Rather than complete the delayed bag claim, the agent can request a staff member to retrieve the bag from the sortation process and allow the passenger to leave with their luggage in hand.

### 8.1.3 Scenario 3: Making the correct depart/wait decisions.

A late arriving flight may have a quantity of bags that are due to transfer to a flight that is approaching departure time. The transfer passengers can be collected and expedited to their departing flight but the bags may end up taking the normal handling process. At departure time, the bags have not appeared and a decision is made to close the flight for further baggage handling, despite the fact that the bags were deposited into the sorter already and are only a couple of minutes away from the makeup area.

If the ground staff new that the bags were offloaded and deposited in good time, the flight may have been able to wait those few extra minutes for the bags to be delivered.

Avoiding leaving bags behind.

- Airlines work with the airports (if necessary) to gain access to BPM data from the sortation process to cover their Resolution 753/30.53 obligation for recording the transfer of baggage between member carriers.
- Data from this tracking process is made available to the baggage reconciliation system so that loaders can know the time and location of induction of the transfer bags.
- Seeing that the missing bags were inducted and are on their way, the ground staff can make better decision on leaving without missing bags, or not.

# 8.2 Mishandling Root Cause Analysis

For an airline that is willing to invest in baggage data analytics, the extra tracking points that Resolution 753/30.53 will introduce will allow the prospect of spotting trends in mishandling as well as focusing in on systematic causes of mishandling that could be addressed. This type of analysis does require data

to be available on the specific bags that were mishandled (relighted, recorded in baggage tracing system etc.).

#### 8.2.1 Scenario 1: Comparing induction time with mishandling rates.

When flight connections are short, it is imperative to have the transferring bags delivered to the sorting process in a timely manner, with the assumption that once in the sortation process, all should be fine. However, sorting systems have different drop points and the time from drop point X to makeup area Y can vary significantly.

It would be invaluable to have statistics that shows, over a certain period of time, that bags dropped at certain default induction points within X minutes of departure had a greater rate of mishandling. This could then be used to set new operating procedure for short and hot connecting bags, so that they are processed in a manner and at times that reduce their likelihood of being mishandled.

#### Required data:

- Information on actual reflighted bags from BRS and DCS reflighting and/or baggage tracing system files.
- Tracking Point from induction to sortation process recording the transfer of baggage between member carriers.
- Scheduled and/or actual flight departure times.

#### 8.2.2 Scenario 2: Validating the baggage segregation processes.

We have seen earlier that transfer bags can sometimes be accidently mixed with terminating bags, and visa-versa. But is this happening more that it should be... Is it happening on bags coming in on certain arriving flights and by how much, compared to properly segregated bags, is this increasing the rate of mishandling.

The answers to these questions can allow a carrier to be able to improve or optimise the rules it has set for the segregation of baggage, particularly at outstations, or, it may highlight certain stations that are systematically not following the segregation procedures set by the carrier.

#### Required data:

- Information on actual reflighted bags from BRS and DCS reflighting and/or baggage tracing system files.
- Loading manifests recording the bags that were loaded on departure (Resolution 753/30.53).
- Tracking data from induction to sortation recording the transfer of baggage between member carriers (Reso 753/30.53).
- Tracking data from arrival bag delivery recording the delivery to passengers (Resolution 753/30.53).
- Scheduled and/or actual flight departure times.

## 8.3 Ensuring fairer pro-rationing of mishandled bag charges

When a pro-ration claim comes from one carrier to another, due to the mishandling of a shared interline bag, the payment of the costs are shared, by default, in a ratio of the mileage flown. However, if it can be demonstrated that custody of the bag was passed from carrier A to carrier B at an agreed exchange point (i.e. a transfer bag tracking point for Resolution 753/30.53) then carrier A could effectively reject the claim if it can be seen that this exchange took place well before the onward flight was due to depart.

Thus, recording both the exchange of custody between carriers (Resolution 753/30.53 obligation) and the time at which it occurs can allow for a more equitable sharing of mishandling charges between interline partners.

## 8.4 Improve on-time departure

In the sections above, we have shown how information from Resolution 753/30.53 tracking points could be used by ground staff to help decided to wait for bags that have been seen at the airport. Being able to make a decision to wait or leave based on knowledge of the current handling process of bags at the airport will undoubtedly allow for less flight delays due to missing baggage. This demonstrates the value of making the Resolution 753/30.53 tracking points for changes of custody at an airport available to baggage handlers as they process the departing flights.

## 8.4.1 Knowing what's truly on-board an arriving flight

Today, most carriers employ baggage IT systems that can show the number and types of bags that are expected to transfer from an arrival flight of an interline carrier to their departing flight. However, what if the bag is left behind at the origin and what if they belong to a group of first class, high value passengers?

Well, if those handling the connecting flight are aware that the flight has arrived and that the passengers have boarded, they are very likely to wait those extra minutes for the bags to show up and get loaded. But, these bags are never going to show as they were not loaded at the arrival station.

The Resolution 753/30.53 solution: if the carriers both implement their obligation under Resolution 753/30.53, and both are willing to exchange the information on loaded interline bags with each other in a timely manner (e.g. BMMs or BPMs), then the departing carrier can have this data available in their baggage reconciliation system at departure time. This allows those handling the flight to be aware that the bags are not going to show. Of course, the bags are mishandled, but it does not have an additional negative impact of causing the delay of an entire flight due to lack of shared awareness.

# 8.5 Faster mishandled baggage repatriation

When bags mishandle, it is usually the tasks of specialist tracing agents to reach out and search for information about the bag and its last know location. There are several reasons why this process can resulted in wasted time in getting the bag back in the hands of the passenger:

- Those with knowledge of the bag's current status are likely to be in a different country and often in a wildly different time zone (asleep).
- Current processes for locating bags may require manual investigation due to lack of tracking data.
- There is generally a time lag between a request to find a bag and a response that the bag has been found and processed.

When a carrier invests in efforts to track their baggage under Resolution 753/30.53, and the tracking methods result in baggage messages (BPMs, BMMs) being exchanged, this opens up opportunity to share the Resolution 753/30.53 tracking data with baggage tracing teams. As tracking information (acceptance, loading, baggage exchange, and delivery) is collected to comply with Resolution 753/30.53, it can also allow these tracing agents to, not only know what is happening and whether further work is required on their part, but they can often provide passengers with a more positive statement on their mishandled baggage.

So, rather than stating "file this baggage claim and we will contact you when we have an update", the agent might be able to state more positively that "the bag was loaded in LHR, it was seen in the system at JFK where you transferred but it missed the connection. However, our staff in JFK have already loaded it on the next flight and it should be with use this evening".

## 8.6 Fraud prevention/reduction

## 8.6.1 Deterring fraudulent missing bag claims.

If as suggested earlier, a carrier is able to arrange to have their Resolution 753/30.53 tracking and loading touchpoints made available in near real-time to their baggage tracing system (WorldTracer, NetTracer, etc.), then the presence of "Bag delivered to arrival belt 1" information on their screen when a passenger reports a delay or missing bag, would certainly be useful in deterring those considering fraudulently claiming to not having received their baggage.

## 8.6.2 Spotting systematic pilferage.

With the Resolution 753/30.53 tracking data being delivered to an analytical database, reports can be created to cross reference pilferage cases with the times and locations of baggage loading, tracking and exchange which may allow the focus of serial pilferage to be directed at certain locations and times at particular airports. (E.g. bags involving pilferage at airport X consistently took longer through sorter Y than the norm, and consistently between the hours of 19:00 and 23:00).

# 8.7 Measuring Baggage Performance

There are some critical areas in the baggage handling process where the performance of airlines, airport and ground handlers are measured. As airlines roll-out the tracking points needed for Resolution 753/30.53 compliance, more accuracy in relation to key performance indicators (KPIs) can be achieved.

### 8.7.1 Baggage Delivery times

A full implementation of the tracking of bags to arrival belts (delivery to passengers) together with the delivery of the data to an IT system for analysis can allow more information to be derived at baggage delivery time:

- First and last bag times: a KPI that is typical in the industry but is not current measured at all airports.
- Quality of service: some carriers want to have priority bags delivered first but often have no means of determining how frequently this objective is being compromised.
- Spread of late delivered bags: A first bag being delivered late could still mean that all the other
  bags were delivered before the target time for the last bag. Equally, a first bag being delivered
  on time, could still result in the bulk of the remaining bags being delivered late. By individually
  recording the baggage delivery of each bag, more advance performance statistics can be
  measured and process improvements made accordingly.

# 8.7.2 Late baggage loading.

If the Resolution 753/30.53 requirement to record the loading of bags on departure is implemented using an automated system like a BRS, then it is likely that the times of the loading as well as the fact that the bags are loaded will also be available for analysis.

By analysing this information, it should be possible for a carrier to spot the level of occasions where large percentages of bags, checked-in in good time, were not loaded as the time of departure approached. Cross checking such statistics with the incidents of late departing flights and times might indicate a lack of baggage handling resource at particular times and airports but it could also highlight poor baggage handling procedures that are risking the airlines on-time-departure ratings.

# 8.8 Better Passenger Experience

Clearly, any initiative that results in better baggage handling performance and reductions in baggage mishandling will implicitly result in a better passenger experience. Whether it's less mishandling, faster mishandled bag repatriation or simply more confidence offer to the passenger that their bags are safe, Resolution 753/30.53 will indirectly improve passenger experience.

In saying that, with the introduction of more baggage tracking, and in particular for those carriers that comply to the resolution using more electronic, automated and real-time techniques, opportunities will open up to be able to share baggage handling updates with passengers as they continue on their journey.

The Resolution 753/30.53 tracking points could allow more carriers to include a "Check my bag" option in their mobile apps to show passengers:

- "your bag was checked-in"
- "Your bag has been sorted"
- "your bag is loaded"
- "your bag has been delivered to carousel 6"

Carriers may feel that this is open to negative results, in cases where the bag does get mishandled, but it is also the case that 99.4% of the time, their passengers will get "positive news" about their bag as they complete their trip.

Equally important, in this era of self-service where airlines have less access to their passengers, such facilities will increase the usage of airline mobile apps, ensuring that the airline continues to have a means of communicating with their passengers, even those that wish to do an entire self-service journey.

Tracking information can also be used in the baggage claims process. Normally, complaints and claims are handled through airline baggage services or the ground handling agents globally. With baggage tracking information, airlines can also decide how they want to inform their customers:

- Customers could be getting more information quickly about their baggage delivery.
- Some claims could be treated via airline websites and/or mobile applications avoiding waits at the baggage service office.
- Complex baggage claims could be more customized to ensure everyone's needs are met.

# 8.9 Baggage tracking data to internal airline staff

If, when complying with Resolution 753, an airline is able to centralise all the tracking data relating to their baggage network operations, there are substantial opportunities to share this information internally to improve customer service and operations.

# 8.9.1 Baggage claims office

Providing baggage claims officers with direct (online) access to all baggage tracking data can allow them to validate claims more effectively, while also ensuring that the payments are justified.

In the case of pro-ration evaluation, with custody exchange locations and times being readily available, an officer should be able to accept or reject another carriers claims for pro-ration using more precise information than is currently available to them.

# 8.9.2 Baggage service desk

When passengers are reporting a mishandling incident, an airline with a centralised data store of near-real-time tracking should be able to implement a direct connection to this data, either through an integration with the baggage claims system or as a standalone system.

With this direct data access, a service agent should be able to:

- Identify whether the bag may simply be misplaced at the arrival airport
- Report on the last know location of the bag (providing the customer with confidence)
- Report that the bag is already found and loaded on the next flight from the origin.

# 8.9.3 Customer service agents

Even if an airline is not willing to provide passengers with direct access to live baggage tracking updates, having a central data store and application interfaces will allow customer service representatives in a call centre or based at the airport to be able to give updates on baggage handling to passengers who may have concerns.

# 9 Baggage Tracking Partners

# 9.1 Potential tracking data providers

Within a member airline's operating network, some stations are likely to have their own infrastructure capable of providing tracking data. This includes baggage handling systems (BHS), baggage reconciliation systems (BRS) or arrival tracking facilities provided by an airport, a partner airline or a ground handler.

Where this infrastructure meets the requirements of Resolution 753/30.53, it shall be the preferred practice to use such infrastructure. Widespread use of existing tracking and tracing solutions is key to cost-effective implementation for airlines and other stakeholders.

Potential Data Provider	Data Useful to Member Carrier	Supported Resolution 753 Requirement
Airports	Acceptance of bag at bag Drop. Tracking data from sorting process (including exception handling)	Acquisition from Passenger
	Induction of transfer bags	Delivery and Acquisition between carriers
	BRS loading operations	Delivery on to aircraft (loading)
	Common use arrival tracking facilities	Delivery to Passenger
Interline airline	Agreed exchange point scanning	Delivery and Acquisition between carriers
	Baggage loading of interline bags at up-line station (for tail to tail ULD	Delivery and Acquisition between carriers
	transfer)	Delivery on to aircraft
Ground Handlers or Handling Partner	Agreed exchange point scanning	Delivery and Acquisition between carriers
	BRS loading operations	Delivery on to aircraft (loading)
	Recording of mishandled bag delivery by couriers	Delivery to Passenger

Use of standard baggage service messages, such as those described in IATA 1745 or future standards, is preferred.

# 9.2 Airports

Airports have a key role to play in generation of Resolution 753/30.53 data; and, importantly, can use the data generated for the resolution to drive improvements in their own processes.

Airports compete for airline business. Airlines are more likely to operate at airports that have a good Baggage Handling & Tracking infrastructure that supports Acquisition and Delivery, and can provide that information to the airlines; especially if that data can be provided in real time. A shared infrastructure is also much simpler to manage for an airport than having multiple systems physically competing for space around the baggage belts. An airport that can help provide the data needed by a member carrier for compliance with Resolution 753/30.53 will be seen as a valuable airline partner.

Baggage tracking data can also be used to great effect to support operations at the airport itself. For instance, it could be used to monitor passenger baggage flows through an airport; to prioritise baggage for hot connections; and to manage and improve general performance of the Baggage Handling System[s] and processes. Accurate data can also be used to provide information to all stakeholders about the location and status of baggage; and it could support more accurate charging for delivered BHS facilities as the tracking figures for the Airport and the Airline should come from the same source.

# 9.3 Interline Airlines

Most mishandling occurs during transfer, especially between interline airlines. Airlines that can exchange accurate, trusted data can support each other by simplifying the collection process. For example, the resolution calls for tracking of custody change between airlines, which in some cases will be recorded by both the inbound and outbound airline separately. If a single agreed tracking point provided by one of the airlines (or their providers) could demonstrate that custody change this would reduce and simplify the amount of data generated and, in most cases, simplify the operation by reducing the number of physical scans required.

In addition, providing a detailed and reliable inventory of bags can also open up opportunities for safe and secure tail to tail handling and thus reduce minimum connection times.

# 9.4 Ground Handlers or Handling Partners

Ground Handlers or Handling Partners are generally responsive to the requirements of the airlines they serve. In most cases, ground handlers will use airport or airline mandated systems and services. In some cases, however, handlers procure their own systems; where this is the case, handlers can help member airlines gain the same benefits described above.

The same data used by airlines and airports can also be used by Ground Handlers to demonstrate and improve staff productivity and effectiveness and optimise staffing.

# 10 Best Practice for Infrastructure

The guide so far has discussed the benefits of recording and exchanging the tracking data mandated by Resolution 753/30.53, and Section 7.1 discussed the merits of automated, real-time exchange. While implementing these strategies will maximize the benefits of end-to-end baggage tracking and tracing, they are not cost effective for all operations; in particular for smaller operations (whether a smaller airport, or a satellite operation at a larger airport).

This section discusses possible strategies for operations of different sizes, and offers suggestions for Best Practice in various different cases. We would welcome to hear any feedback from you about your future plans for implementation: <a href="mailto:baggageservices@iata.org">baggageservices@iata.org</a>

# 10.1 Evaluating Tracking Strategies

The decision on which tracking strategy to adopt will vary from airline to airline, and station to station. It is possible, and indeed likely, that an airline will use many different approaches across their network, and also that different airlines at a given station will adopt different strategies based on the scale of the operation and the systems used by that airline (such as availability of centralized systems).

#### 10.1.1 Considerations

The points below outline the sort of questions that should be asked of the airport in question in order to properly evaluate the best approach when considering a tracking strategy. This list is by no means exhaustive.

- Does the airport have a Baggage Reconciliation System; or are bags otherwise scanned already by other common use systems at the airport?
- Is the data in any existing airport system available for export to a third party; and if so, how can this data be obtained (for instance, IATA standard BPM, BMM, CPM; web service; API; spreadsheet)?
- Is a message broker available, either within the airport environment or on a wider scale?
- Is Wi-Fi widely available at the baggage handling points (load, transfer or arrival) and/or on the ramp; or if not, is Wi-Fi available elsewhere, such as at the gate within the terminal building?

## 10.1.2 Possible Approaches

An airline can make an informed decision on the best approach to take for a given station, based on the considerations above, plus other factors, such as availability of a centralized system for storing tacking information or availability of scanning at the airline's hub operation. A number of possible approaches are listed below; and again, this list is by no means exhaustive.

#### 1. Real time scanning via BRS system (Departure and Arrival)

- Bags scanned in real-time as each bag is loaded/offloaded
- Data acquired from airline system using standard interface and stored locally or passed to centralised system

#### Offline scanner (Departure and Arrival) 2.

- Scanner records bag tags as each bag is loaded/offloaded
- Records uploaded periodically or post departure to centralised system
- If no communications infrastructure available, scanner is taken back to hub airport and uploaded retrospectively

#### 3. Departure scanning - based on bingo sheet

"Confirm departure load" by scanning bingo sheet; could be scanned at departure airport, arrival airport (using Bingo Sheet copy sent with the aircraft) or elsewhere (using emailed copy)

#### 4. Departure scanning – based on exception reporting

- "Confirm departure load" by exception reporting; Nil bags are left behind, post departure = 100% uplift
- This is a valid approach only when there is no overlap of build
- Should not be used in conjunction with arrival exception reporting

#### 5. Arrivals scanning - based on departure load (arrival at hub operation)

"Confirm arrival" by scanning; Scanner records bag tags on arrival

#### 6. Arrivals scanning - based on departure load (arrival at smaller operation)

- "Confirm arrival" by exception reporting; Nil bags left on the aircraft = 100% bags arrived.
- This is a valid approach only when there is no overlap of arrival
- Should not be used in conjunction with departure exception reporting

#### 7. Arrival & Transfer confirmation – by recording the bags on CCTV

A method to determine which bag is which within the CCTV system will help enable tracking of individual bags

# 8. Arrival & Transfer confirmation – by manual recording of bag tags (e.g. Excel spreadsheet) to record bag status as arrived or transferred

Match bags tags against departure records

#### 10.2 Case Studies

Appendix C (Section 14) contains a number of Airline and Airport case studies describing possible best practice for operations of different sizes. More case studies will be added in later releases of this guide; please forward any submissions to <a href="mailto:baggageservices@iata.org">baggageservices@iata.org</a>

# 11 Data Charter

The baggage data that is collected during the implementation of Resolution 753/30.53, particularly when it comes to data being shared, is an area that raises natural concerns among IATA/A4A Member Carriers - even if those carriers are both willing to exchange baggage data. Carriers have an overall responsibility for the exchange of information as required by the resolution. The opportunity for misuse or mishandling of sensitive data is clearly present, and so the Resolution 753/30.53 Data Charter is intended to be a set of conditions that Member Carriers agree to adhere to. The purpose is to ensure that those providing data have confidence that it will not be mismanaged or misused; while those receiving data are aware of some basic responsibilities in relation to the data being provided.

## Terms of the charter (draft)

In the context of IATA Resolution 753/A4A Resolution 30.53

- A checked-in bag's journey is deemed to include the airports, flights and baggage handling systems and processes that it must go through, from initial acceptance from a passenger to the return of that bag to that passenger.
- The **Primary Stakeholders** during a bag's journey can be any/all of the following:
  - Any IATA/A4A Member Carrier that is expected to transport or handle the bag during any part its journey.
  - Any airport or terminal management organization ("Airport") that is expected to process the bag through an airport or terminal under its control.
  - Any non-Member Carrier that is expected to transport or handle the bag during any part of a bag's journey.
- **Baggage Service Providers** are organizations that may be appointed by a Primary Stakeholder, through the provision of baggage handling systems and services, to process their baggage and/or associated baggage handling data.
- For simplicity, references to IATA/A4A Member Carriers and Primary Stakeholders within this charter also apply to Baggage Service Providers acting on their behalf,
- IATA/A4A Member Carriers may receive baggage handling data from Primary Stakeholders other than IATA/A4A Member Carriers (e.g. Airports, non-IATA/A4A members). The terms of this charter also apply to data received by IATA/A4A Member carriers from such organisations.

- When baggage handling data is captured by an IATA/A4A Member Carrier, they are obliged to provide this data to other IATA/A4A Member Carriers that are expected to transport or handle the bag during any part its journey.
- Baggage handling data exchanged between two IATA/A4A Members Carriers should be provided in a manner and format that is agreeable to both parties.
- For interline bags, as a minimum, an IATA/A4A Member Carrier should be capable of sharing data with other interline IATA/A4A Member Carrier(s). This data is defined as:
  - acceptance of the interline bags, from the passenger or another Primary Stakeholder:
  - loading of the interline bags on the departing flight;
  - delivery of interline bags to either a passenger or another Primary Stakeholder.
- When an IATA/A4A Member Carrier is receiving baggage handling data from another Primary Stakeholder, they must ensure that:
  - delivery of the data has been approved by the Primary Stakeholder that created or captured the data.
  - data, or information derived from the data, is not used in a manner that would compromise the reputational or commercial interests of the Primary Stakeholder that has provided the data.
  - data or information derived from the data, is not provided to any third party other than a Primary Stakeholder, against the expressed wishes of the Primary Stakeholder that provided the data.
  - any cost for the exchange of data is borne by the receiving IATA Member Carrier, unless otherwise agreed.
  - data is stored in a safe and secure manner.
  - data is managed in a manner that conforms to national and international regulations in relation to data security and privacy.
- When an IATA/A4A Member Carrier is providing baggage handling data to another IATA/A4A Member carrier, they must:
  - o make every effort to provide the data in a manner, format and at a time that best suits the needs of the receiving IATA/A4A Member Carrier, particularly when the receiving carrier has accepted to pay any costs associated with the data exchange.
  - o ensure that the data provided relates specifically to the interline bags that are expected to be handled by the IATA/A4A Member Carrier receiving the data.

# 12 Appendix A - Frequently Asked Questions

IATA will capture in the Frequently Asked Questions of the implementation guide feedback received from external stakeholders. This is a non-exhaustive list that will be updated regularly.

Please provide your feedback at the following link:

http://www.iata.org/whatwedo/ops-infra/baggage/Pages/baggage-tracking-industry-feedback.aspx

Feedback can also be done regarding other aspects of the implementation guide.

#### COMMUNICATION AND IATA SUPPORT TO THE INDUSTRY

# **12.1** How does IATA support airline members in getting ready for the Resolution 753 implementation?

IATA has developed different resources such as:

- IATA Resolution 753
- Baggage Tracking Implementation Guide
- Regional workshops
- IATA Readiness Certificate
- Consultancy Services
- Training Baggage Tracking Implementation and Compliance
- 1 year to go campaign
- Airports support to airlines questionnaire
- Resolution 753 Tracker
- Webinars (as of 2018)

For information on the resources and how to get the information please contact: baggage@iata.org

# 12.2 Does IATA organize communication for airlines?

IATA and Airlines for America (A4A) have launched a year-long global campaign related to baggage tracking with the goal of reducing mishandled bags in addition to increasing efficiency in baggage operations.

More information can be found at:

http://www.iata.org/pressroom/pr/Pages/2017-07-20-01.aspx

IATA will also be organizing webinars as of 2018.

For additional information, please go to: www.iata.org/baggage

# 12.3 Could IATA take the lead and communicate with different airport authorities?

IATA is collaborating closely with ACI World to communicate key messages regarding how airports can support airlines meet their 753 obligations. This is conveyed to airports through different channels (e.g. presentations at ACI industry meetings and conferences).

For additional information, please go to:

IATA: www.iata.org/baggage

ACI: http://www.aci.aero/About-ACI/Priorities/Airport-IT/Initiatives

### 12.4 Could IATA set-up a guide of airport facilities?

IATA is currently working on this with the support of Airport Council International (ACI) World. For more information, please contact: <a href="mailto:baggage@iata.org">baggage@iata.org</a>

# 12.5 What would be the consequences if an airline could not be 753 compliant by June 2018?

IATA does not monitor or verify that airlines have implemented or comply with our resolutions. IATA is effectively an industry group of airlines that work together for mutual benefit, and like any group, when all the members agree to do something then it should be done.

There can be some effects from failing to implement IATA Resolution 753:

- **Prorates:** It is intended, but not yet actioned, that airlines that cannot provide tracking data for their baggage should bear the entire cost of baggage claims for their interline journeys.
- **Interline Agreements:** Some airlines are placing a requirement for the sharing of baggage data in their interline agreements, and therefore this could be a key capability for maintaining those agreements.
- **Missing out IATA Resolution 753 benefits:** It is important to remember that the resolution provides medium to long term benefits for airlines and airports. Some of the benefits show a direct relation to mishandling reduction, data analysis and passenger satisfaction improvement.

Therefore, each member should very carefully consider their approach to implementing IATA Resolution 753, even if they are not compliant at the June 1st 2018 date. We would hope that all members have a plan for implementation and would be able to demonstrate their commitment to baggage tracking.

#### INDUSTRY WORKING TOGETHER

# 12.6 Does IATA organize joint discussions inside and outside alliances for common implementations?

In 2017, IATA organized baggage tracking workshops to raise awareness on Resolution 753 in different regions.

Given that each airport location has its specificities, it is best for airlines and airports to identify the appropriate forum to discuss baggage tracking locally (e.g. Local Baggage Committee, Airline Operators' Committee).

In addition, IATA encourages airline members to seek direct support from their alliances if applicable.

# 12.7 How does IATA encourage joint participation between airports, vendors and airlines on innovation for baggage tracking?

Several opportunities exist to foster innovation related to baggage tracking such as:

- Industry events (e.g. IATA World Passenger Symposium, ACI-NA Annual General Meeting, AAAE/ACC Design Symposium, Transportation Review Board Annual Conference (TRB), ACI-Airports at Work, Passenger Terminal Expo);
- Industry meetings (e.g. Participants of the IATA Baggage Working Group are airlines, airports, IATA Strategic Partners in addition to IATA, A4A and ACI World).

For more information please contact: baggage@iata.org

# 12.8 Why should the industry work together for Resolution 753 if it is an IATA requirement for IATA member airlines?

Baggage tracking is a key way that our industry can continue to drive down costs and improve service at a fundamental level.

Key benefits for airlines and airports are:

- Preventing baggage mishandling
- Mishandling root cause analysis
- Ensuring fairer pro-rating of mishandled bag charges
- Improve on-time departure
- Faster mishandled baggage repatriation
- Fraud prevention/reduction
- Measuring baggage performance
- Better passenger experience
- Baggage tracking data to internal airline staff

For details on benefits see section 8. Realizing the benefits of baggage tracking in the implementation guide.

# 12.9 What are the appropriate forums baggage stakeholders could use to discuss the implementation of Resolution 753?

IATA encourages airline members to engage locally with all key stakeholders involved in baggage activities early in the process in order to define together the best strategy to maximize the benefits the Resolution 753 could bring.

The forum could be an Airline Operators' Committee (AOC) or a Local Baggage Committee (LBC) as defined in IATA Resolution 744.

#### **IMPLEMENTATION OF RESOLUTION 753**

### 12.10 What technologies are acceptable for baggage tracking?

The potential recording methods are as follows:

- Laser or Imager Optical scanning
- Manual recording
- RFID scanning
- Optical Character Recognition (OCR)
- Other technologies: Bluetooth (BLE), NFC, Wi-Fi, GPS or other

More information on the above potential recording methods appears under section 6.5 Potential Recording Methods of the Implementation Guide.

In some cases, the contribution from industry providers would be required. If this is the case, a list of IATA Strategic Partners can be found under section 18 of the implementation guide.

It is important to note that Resolution 753 does not mandate one way of doing things and is based on consistency of processes and not on technologies.

# 12.11 How can airlines achieve Resolution 753 for the airports without BHS/BRS?

Resolution 753 does not mandate one way of doing things when it comes to collecting, recording and exchanging the baggage data. Airlines can meet the Resolution 753 requirements by using manual processes.

For additional information on manual processes see 6.5.2 Manual recording section and 7.2 How to exchange baggage tracking data in the implementation guide.

#### **TYPES OF BAGS FALLING UNDER RESOLUTION 753**

### 12.12 How do I know when baggage tracking is required?

As a general rule, baggage tracking is required when a bag tag number (10 digit license plate) is issued. This includes:

- Oversized and special baggage (e.g. sports equipment, wheelchairs, strollers, etc.)
- Live animals under the provision of IATA Resolution 780, Article 3 Interline Checking of Baggage
- Gate bags (see section 15 Appendix D treatment if irregularities operations in the implementation guide).

#### **AIRPORTS AND RESOLUTION 753**

Resolution 753 places an obligation on IATA and A4A member airlines. However in many cases, airlines will be seeking the support from stakeholders involved in the baggage journey such as airports.

# 12.13 Could IATA set-up a guide of airport facilities?

IATA is currently working on this with the support of Airport Council International (ACI) World. For more information, please contact: <a href="mailto:baggage@iata.org">baggage@iata.org</a>

# 12.14 How would 753 be one key factor of airport KPI?

Baggage tracking is a key enabler not only for airlines but also for airports and the whole industry to reduce further the number of mishandled bags in addition to increase efficiency in baggage operations and ultimately offer better passenger experience.

## Benefits for airports:

- Add KPIs as part of Service Level Agreements (SLAs)
- Preventing baggage mishandling
- Mishandling root cause analysis
- Improve on-time departure
- Measuring baggage performance
- Fraud prevention/reduction
- Better passenger experience

For details on benefits see section 8. Realizing the benefits of baggage tracking in the implementation guide.

#### **BAGGAGE DATA EXCHANGE**

As we received a lot of questions related to baggage data exchange, please find below some generic information for reference. However, it is strongly recommended for airlines to review the appropriate regulations related to data that should be adhered to when baggage tracking is collected, exchanged and used.

# 12.15 Are there some data security elements to consider?

Although concerns differ from entity to entity, there has historically been resistance within the air transport industry to exchanging operational data. Commercial interests, security fears, data protection concerns and regulations all contribute to this resistance. For Resolution 753, a Data Charter (see section 11) has been added to this guide so that those attempting to comply with the resolution can understand the obligations of member airlines and their agents in relation to baggage tracking data management.

### 12.16 Are there some data protection regulations to consider?

For many years, airlines and airports have been exchanging baggage source messages (BSMs) "as needed" for the specific purpose of enabling the automation of baggage handling by all entities involved in any aspect of the handling of the passenger's bags. Data exchange between these parties for the purpose of BSM (Check-in) information is therefore covered by existing processes and procedures, and as such, should be considered to be already within any local or international data protection regulations.

The exchange of baggage tracking data (as opposed to check-in data) could be regarded, under this resolution, as a simple extension of this process, and could therefore be considered to be within existing agreements. However, this guide is not intended to countermand local or international data protection regulations, and all parties (airlines, airports and ground handlers) should ensure that any such data exchange is within these regulations.

# 12.17 Is there a risk of data privacy from baggage tracking data exchange?

It is unlikely that there would be an additional risk of contravening data privacy laws from exchanging tracking data.

The only additional information that interline carriers would likely share, above and beyond the content of currently shared BSMs, could be:

- When did a baggage handling event occur?
- What was the event that occurred?
- Where did the event occur?

This is even more limited by the fact that the data being exchanged is limited to:

 Handling events that are required by resolution 753 (passenger acceptance, bags loaded on departure, transfer process and passenger delivery).

# 12.18 What happens if the baggage tracking information is shared with too many parties?

The only entities that could have access to newly exchanged baggage tracking data are effectively the same parties that currently receive BSMs relating to the bag being handled:

- **Ground Handlers**
- Interline Airline Partners
- Airports
- IT system providers appointed by Airlines or Airports

In the case of baggage tracking data, it is likely that the recipients of any exchanged data will only be a sub-set of these entities.

# 12.19 Why an airport might share data or support airlines with 753?

- Better service to airline customers: airlines are the customers of airport and if they are not getting the types of service that other airports provide, airlines may think again about where they operate from. If airports have resolution 753 data readily available, and can reduce the cost to airlines in implementing their own facilities to cover the same tracking points, then airports would certainly be providing better customer service by sharing what data they have.
- Better reputation among passengers: If as a result of sharing their baggage tracking and loading data with customer airlines, those same airlines manage to achieve some of the benefits of that data sharing such as reduced mishandling and better on-time departure, then the reputation of the airport will rise with that of the airlines operating from their facility.
- Continued common use benefits: common use facilities benefit an airport in so far as the airport can gain usage revenue while also ensuring a better managed infrastructural environment. When it comes to tracking, and in particular, for those tracking points that are most likely to need new infrastructure (e.g. scanning on delivery to passenger/arrival carousel), if an airport does not implement a common use solution, with the resulting data being shared with the airlines, then airlines are likely to go ahead and implement their own solutions. This would have the potential to erode the manageability of the airport and its services while also increasing logistical complexity for support and maintenance activities.
- Bilateral discussions: Since airports might have critical data required by airlines for resolution 753 fulfillment (e.g. BHS tracking data), there may be scope for airlines and airports to agree through bilateral discussions what tracking data could be exchanged through baggage information messages or reports.

# 12.20 To which privacy law should IATA resolution 753 comply?

IATA Resolution does not comply to any specific privacy law. For information, the bag tag number (10digit license plate) is mandatory in all cases when recording tracking points. Time is also recommended especially in case of offline scanning without having any passenger information what so ever.

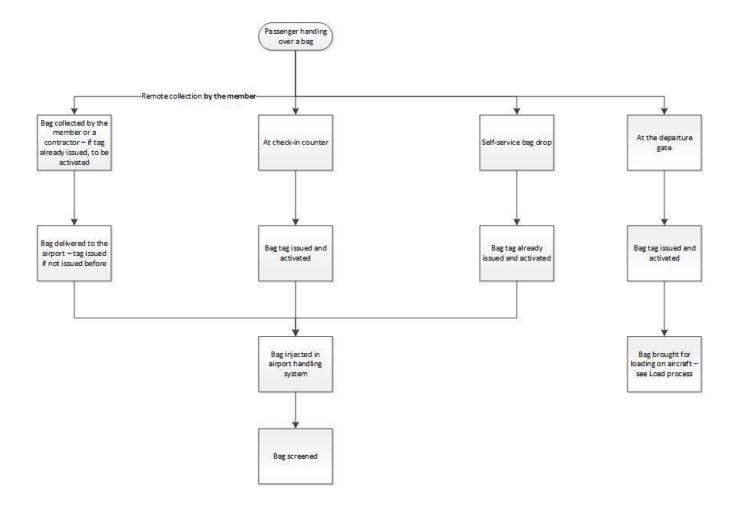
For Resolution 753, a data charter (section 11) has been added to the baggage tracking implementation guide so that those attempting to comply with the resolution can understand the obligations of member airlines and their agents in relation to baggage tracking data management.

As best practice, IATA also strongly recommends for airlines to review the appropriate regulations related to data that should be adhered to when baggage tracking is collected, exchanged and used.

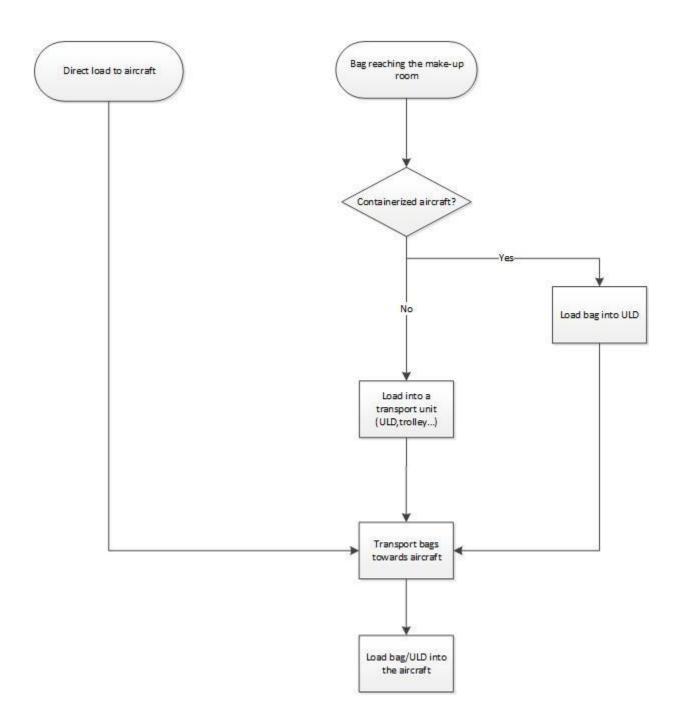
# 13 Appendix B - Sample Process Views

The following section outlines typical acceptance, load, transfer and arrival processes.

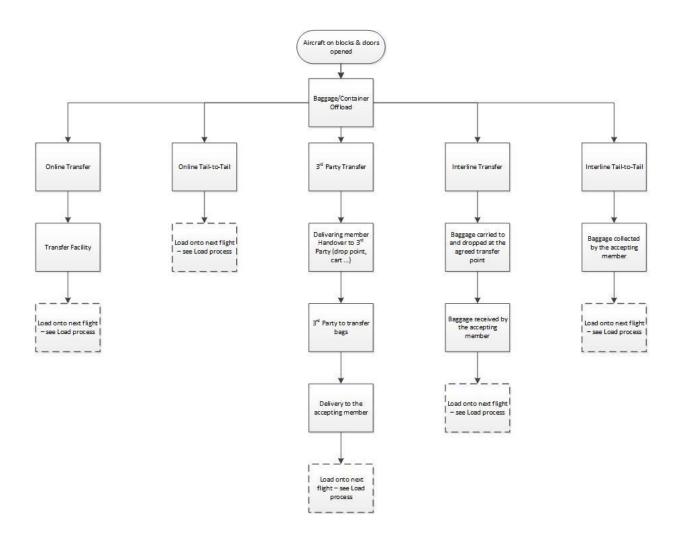
# 13.1 Acceptance Process



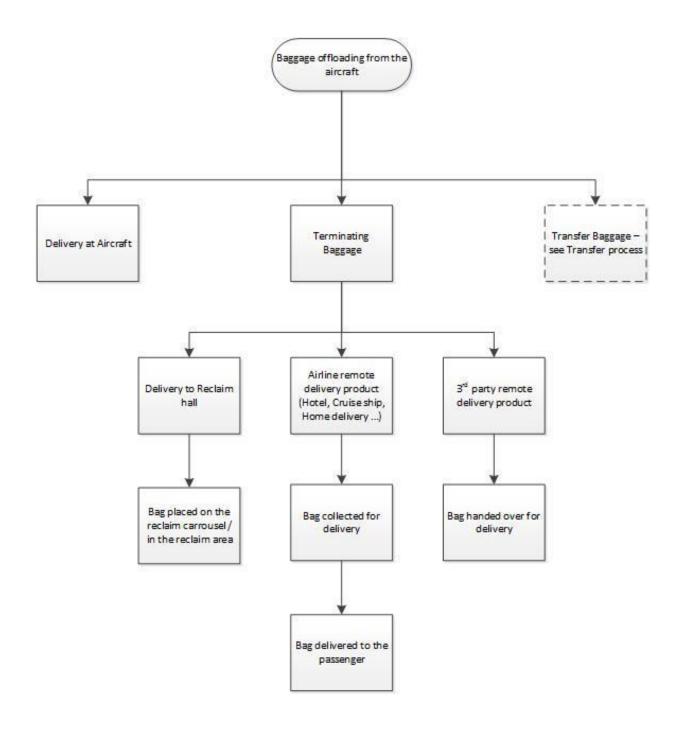
# 13.2 Load Process



# 13.3 Transfer Process



# 13.4 Arrival Process



# 14 Appendix C - Case Studies

Appendix C contains a number of Airline and Airport case studies. The case studies offer suggestions for best practice for operations of different sizes.

# 14.1 Case Study - Air France

Mr. X booked a ticket from LYS to ATL via CDG.

Mr. X has one piece of baggage.

... Mr. X arrives at LYS.

## 1. Bag from passenger to AF

Mr. X has two choices:

- Either he has printed his bag tag at home (home printed bag tag) or via a kiosk at the airport (self-tag). So a BSM is generated with an inactive status. Then Mr. X can go to the counter/self-drop-off machine to drop his bag. A BSM change is generated to activate the bag.
- Or he goes to the counter without having previously printed bag tag. He drops his bag and a BSM is generated.

All these BSMs go to Air France systems and are visible in Air France bag tracking viewer/data base (= internal software that displays and stores all bag information).

#### 2. Bag Load into hold

At the end of the baggage gallery, the bag arrives at the right pier. An agent scans a ULD and scans all bags that are loaded in this ULD via BRS device.

From this moment, all bags associated with the ULD benefit from container inheritance. From now, bags are not individually tracked but ULD are. And bag positions are known thanks to ULD inheritance.

Generated BPMs are sent to AF bag tracking viewer/database.

#### 3. ULD position in hold

The ULD containing the bag is brought under the aircraft. The ULD is scanned and localized in the hold. So thanks to ULD inheritance, bag position is known. Then, ULDs are physically loaded in the aircraft.

Generated BPMs are sent to AF bag tracking viewer/database.

... Mr. X enters the plane and flights to CDG. So does his bag.

... Mr. X lands at CDG.

#### 4. Aircraft Unload

Agents come under the aircraft and unload ULD and bulk. They scan ULD and bulk so that, thanks to ULD inheritance, all bag status are known.

Generated BPMs are sent to AF bag tracking viewer/database.

## 5. Bag exchange and BHS

ULDs arrive at BHS. Each bag is retrieve from the ULD and drops off sorter belts.

The bag now enters in sorters. Sorters belong to CDG owner: Aéroport de Paris. Location points are defined in sorters (entry, localization points, exit) and BPMs are triggered at each point.

These BPMs are retrieved by AF bag tracking viewer so that AF can follow the bag in BHS. Information exchange occurs between the airport and AF.

#### 6. Bag Load into hold

At the end of the baggage gallery, the bag arrives at the right pier. An agent scans a ULD and scans all bags that are loaded in this ULD via BRS device.

From this moment, all bags associated with the ULD benefit from container inheritance. From now, bags are not individually tracked but ULD are. And bag positions are known thanks to ULD inheritance.

Generated BPMs are sent to AF bag tracking viewer/database.

#### 7. ULD position in hold

The ULD containing the bag is brought under the aircraft. The ULD is scanned and localized in the hold. So thanks to ULD inheritance, bag position is known. Then, ULDs are physically loaded in the aircraft.

Generated BPMs are sent to AF bag tracking viewer/database.

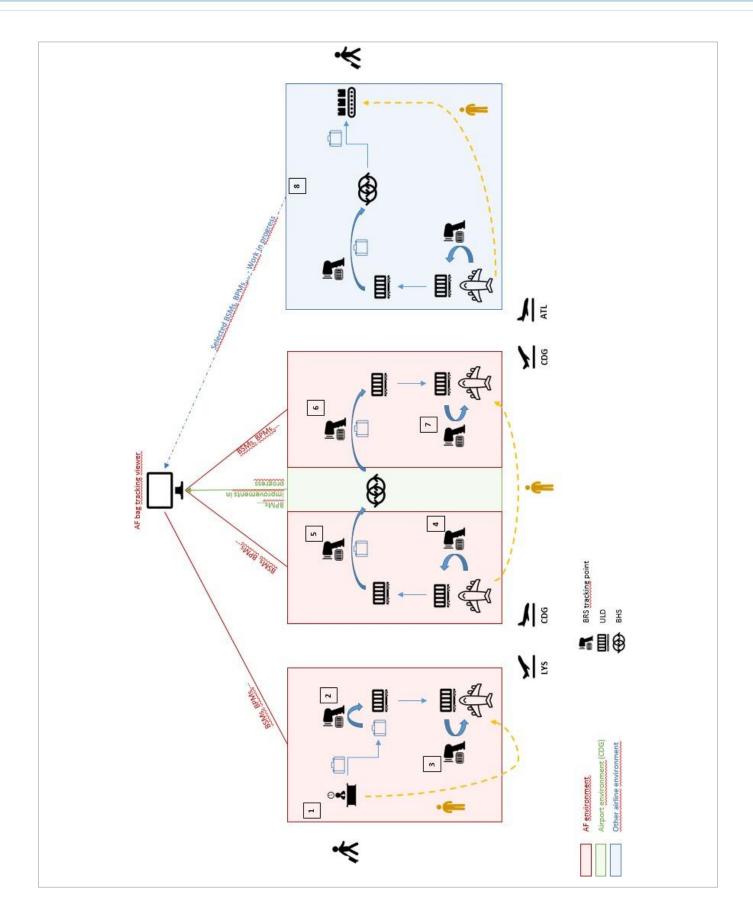
- ... Mr. X enters the plane and flights to ATL. So does his bag.
- ... Mr. X lands at ATL.

#### 8. Bag exchange (AF => DL as handler)

At ATL Air France is handled by Delta. Air France and Delta are currently working to share messages triggered at claim belts. Air France will be able to follow the bag at ATL in its bag tracking viewer.

More widely, Delta and AF works together to share BSMs and BPMs and BMMs in certain cases so that they will be compliant with RP753.

... Mr X retrieves his bag and goes to his conference.



# 14.2 Case Study - Etihad and Luggage Logistics

#### 753 implementation example: offline scanning of bingo cards

## What problem were we trying to solve?

Through this exercise, we wanted to solve two elements:

Resolution 753 requires airlines to maintain an inventory of all bags loaded in our aircraft upon flight departure. To gain benefit from this requirement, we want to have all that information available centrally.

Moreover, we wanted to know the number of terminating and transfer bags loaded on each flight, their outbound flight, connection time and location in the aircraft before arrival in Abu Dhabi. This is part of a continuous exercise to improve our operational readiness and planning at our hub.

To do so, in all airports we operate to with BRS implemented, loading information is sent back to our baggage systems through BPMs.

However, some airports we operate to do not provide an access to a BRS that could send such messages back to a central repository.

To summarize, we wanted to receive loading information for bags where airport authorities do not provide a BRS system capable of sending BPMs back to our systems yet.

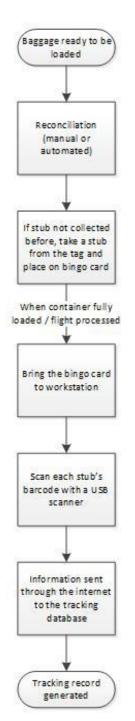
#### - What options?

Several options were considered, including the following:

- Implement our own BRS solution at those airports: this solution is by far the most expensive, as it requires system and infrastructure investment. Moreover, the infrastructure to ensure connectivity might not be available at the airport (WiFi / mobile network coverage etc.).
   This option was discarded.
- Do nothing: that option wouldn't have helped us in implementing 753, nor improving operations. Therefore, it was not considered a viable solution.
- Implement a back-office tracking tool without automated reconciliation.

## How does it work?

 Current reconciliation processes are kept at all airports, whether automated or manual. If the reconciliation process does not allow to send BPMs (manual or legacy BRS), we use bingo cards to record loading positions of bags.



- Bingo cards are brought back into a back-office environment where they get scanned into our tracking system by a USB bar-code scanner. Only requirement is a computer with internet connection to access the tracking database.
- Information is then added to our database of BRS-covered airports, allowing us to have loading information and positions for all bags on our flights.

Advantages and warnings related to the concept:

## Advantages:

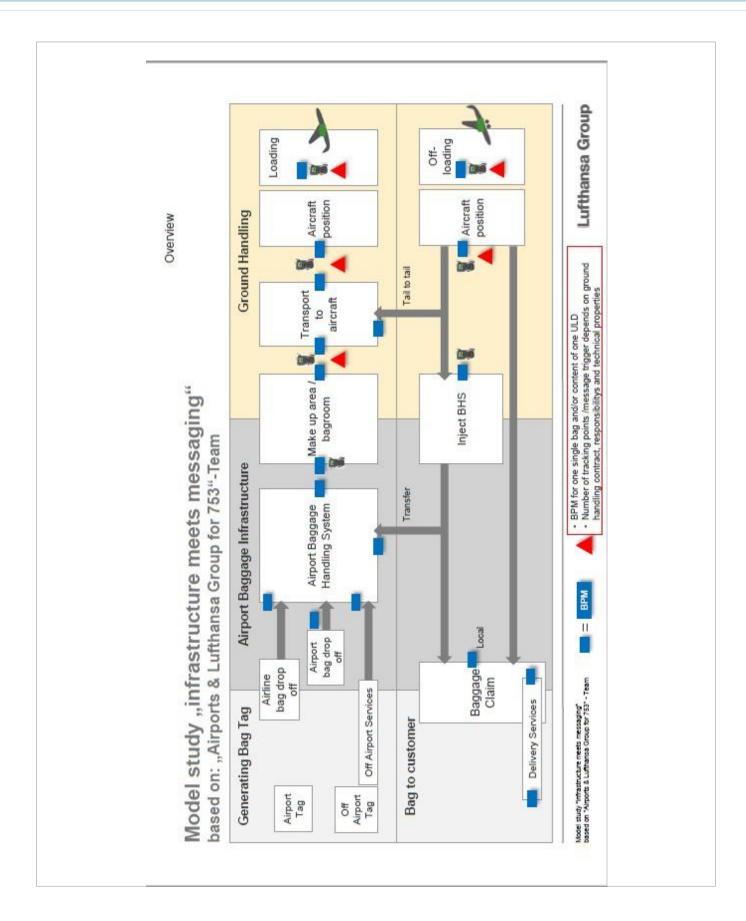
- Limited cost: no infrastructure cost, limited equipment at the airport (USB scanner). The main cost relates to the tracking system itself.
- Provides electronic information out of bingo cards allows for further processing and analytics.
- Scanning bingo cards in the tracking database is a simple and quick task. From our experience, an average of 10 min is required for a Narrow Body aircraft and 20 min for a Wide Body.

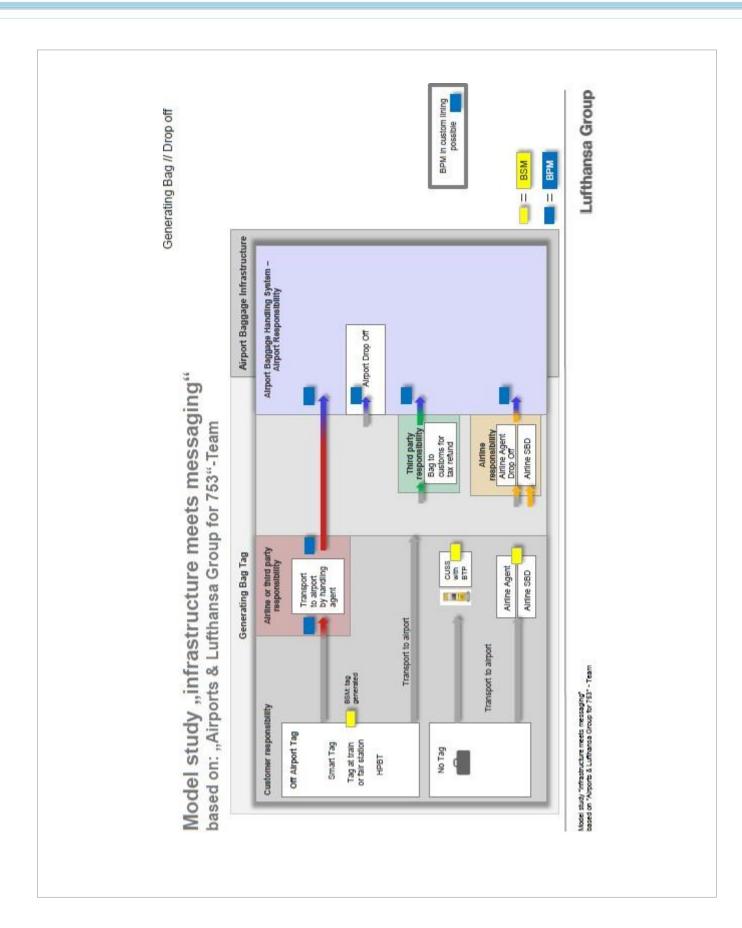
#### Warnings:

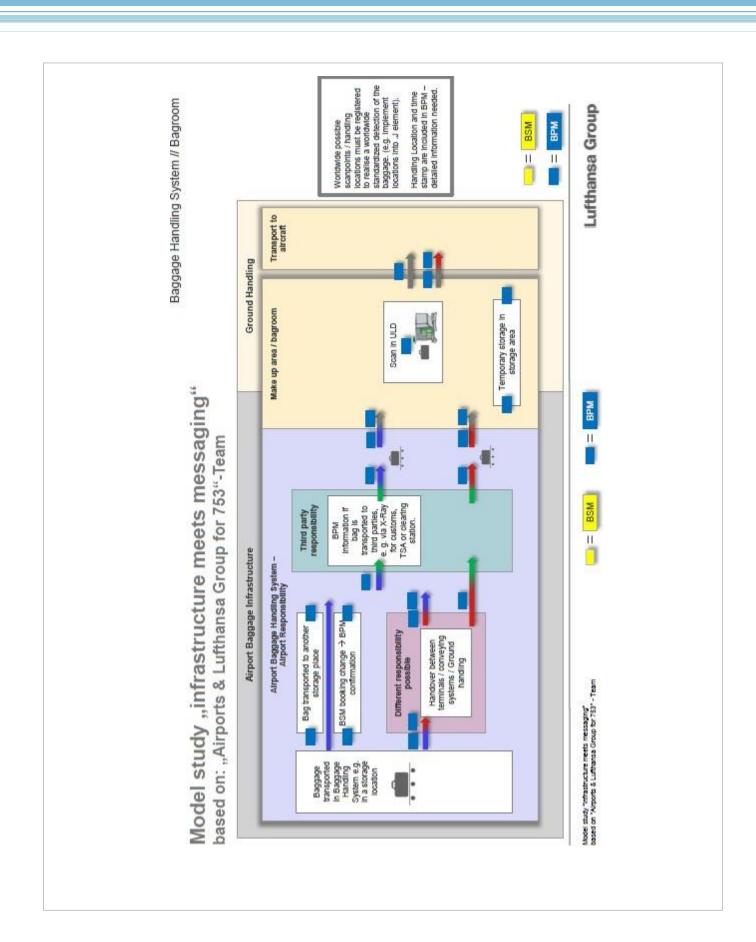
- This setup does not provide system reconciliation, the baggage reconciliation process in use at the airport shall remain.
- While BRS information provides timestamps for processed bags (bag loaded in container, dispatched to the aircraft, loaded in the aircraft etc.), offline scanning only provides a tracking position and no time stamping.
- To be used for further usage than 753 compliance, information shall be added early enough to allow for planning and review.

# 14.3 Case Study – FRA/MUC/VIE/ZRH and Lufthansa Group

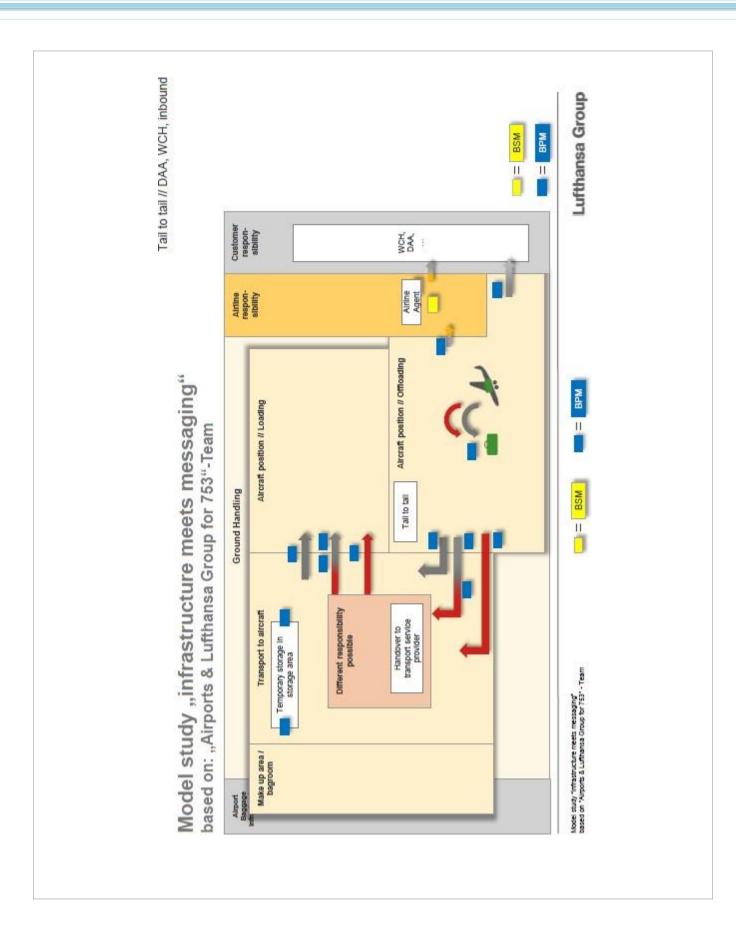
Model study "infrastructure meets messaging "		
based on: "Airports & Lufthansa Group for 753"-Team		
The model study contains the tracking points along the journey of customer baggage considering IATA Reso753.		
Conditions are the use/upgrade of existing infrastructure (hardware/software) e.g. a BRS-System as well as a consequent transmission of standardized IATA baggage messages (RP1745) between airlines and airports.		
The study is based on our expert group of the airports FRA, MUC, VIE, ZRH and the Lufthansa Group.		
Graphic explanation follows on the next page		

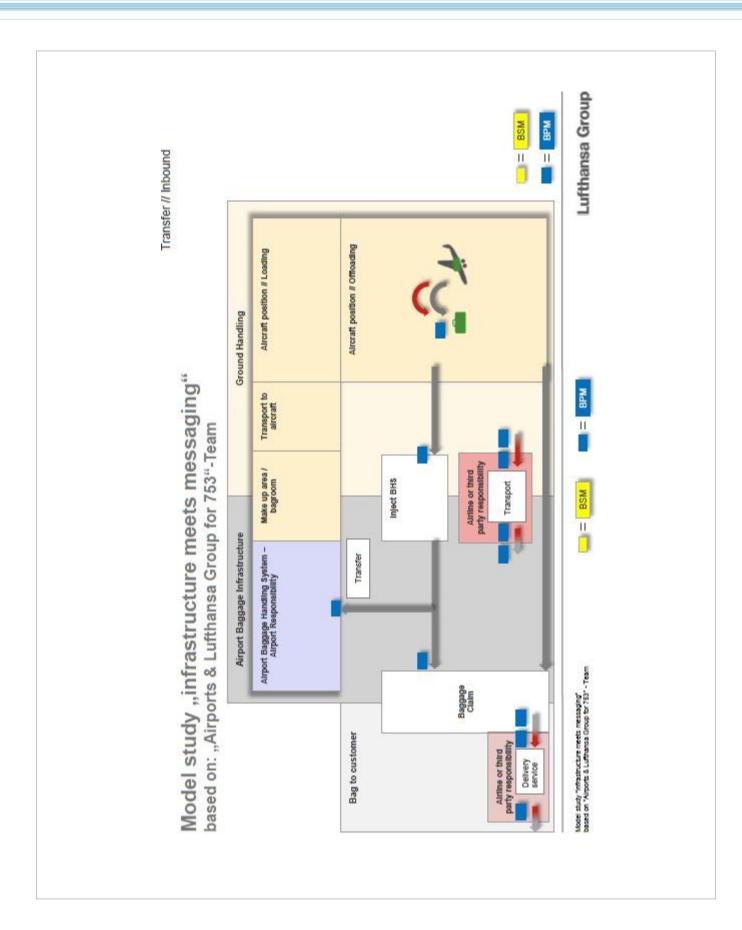


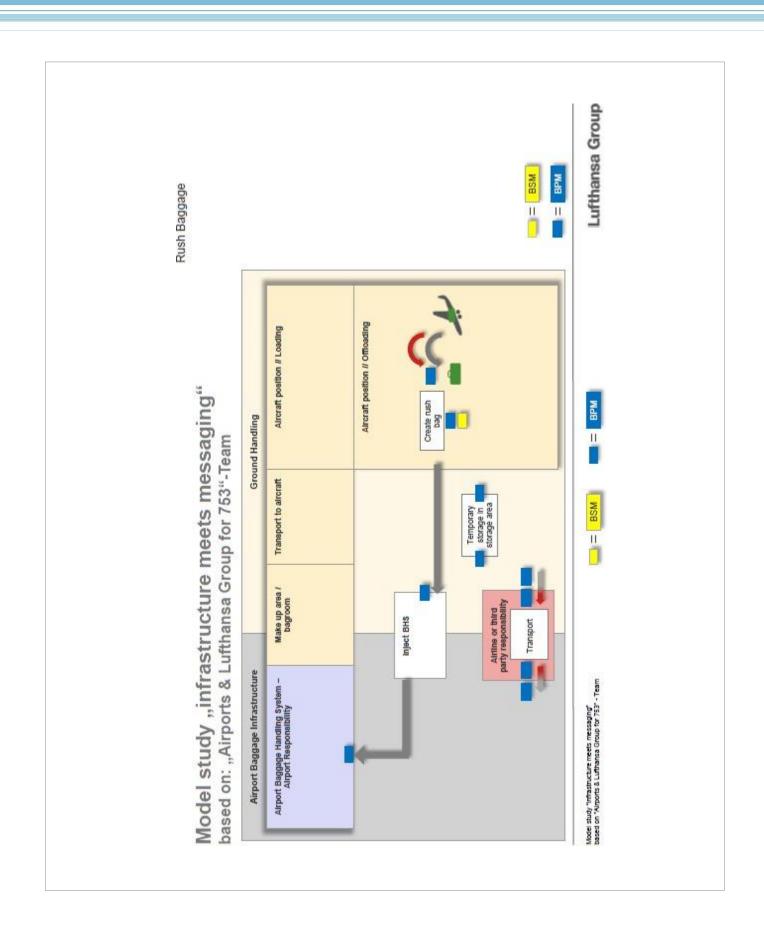


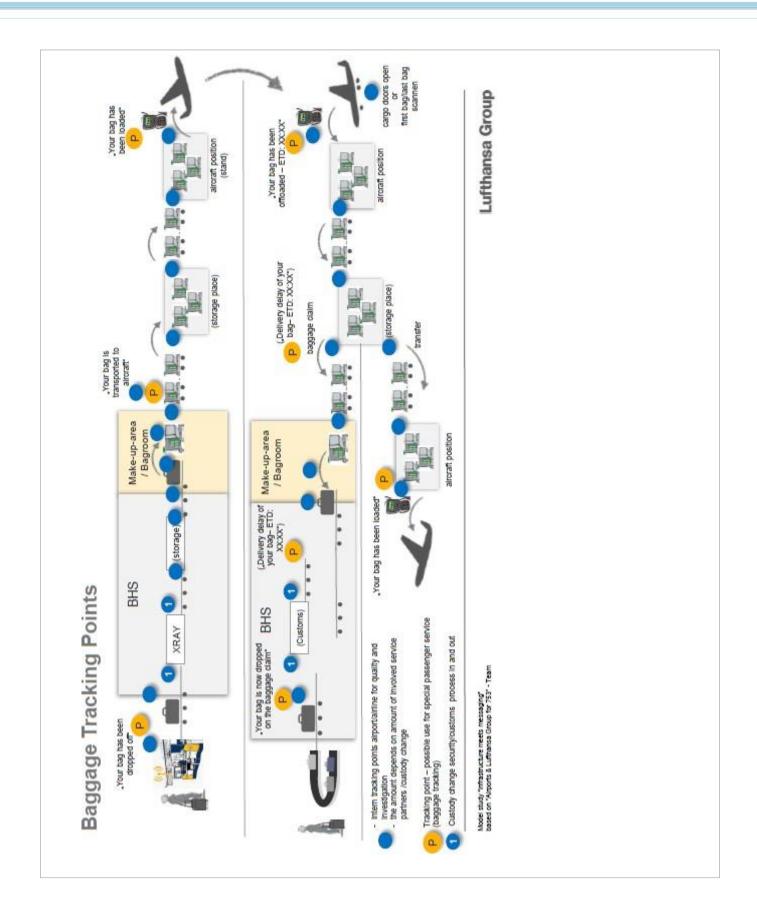


Outbound // Gate bags // DAA, WCH, ... Lufthansa Group = BPM = BSW Customer respon-sibility Gate bag // DAA, WCH, Alrine agent generates tag – DCS connection Alriline respon-sibility Model study "infrastructure meets messaging" BPM = Aircraft position // Loading Temporary storage In storage area based on: "Airports & Lufthansa Group for 753"-Team Ground Handling = BSW Different responsibility possible Handover to transport service provider or second ground handler Transport to aircraft Temporary storage in storage area Model study "Inhastructure meets messaging" - Team based on "Aliports & Lufthansa Group for 753" - Team Make up area / bagroom Alrport Baggage Infractruoture









# 14.4 Case Study - Interline Delivery and Acquisition at Los Angeles International Airport (LAX)

Note: This case study suggests a possible best practice at a large multi-terminal airport. The last paragraph goes beyond the requirements of IATA Resolution 753 to show additional benefits that could be gained from additional scanning points.

At Los Angeles International Airport (LAX), there are nine terminals. As a major transfer hub, there are many passengers on interline connections who must also change terminals. These passengers (and their bags) are the focus of this case study.

When a flight arrives, a passenger with an interline connection disembarks and starts their transfer process to the next terminal. The carrier (or their ground handler) unloads the flight, and delivers the interline bag to a specified interline connection location, and potentially scans the bag with their own system. Another ground handler – the interline ground handler – picks up the interline bag. When they do, they scan the bag tag with a mobile handheld scanner, and specify where they are and what they're doing (e.g. pickup at T8 interline connection point). The scanner is connected to a cloud system that also receives BSMs and flight information. The interline ground handler is provided outbound flight information, including terminal, gate, and time to departure. In addition, the system generates a BPM to indicate that the bag has been scanned, and where. The system also stores the record of the transaction for future analysis, if needed.

The interline ground handler takes the bag to the outbound terminal, and drops it off at another specified interline connection location. Again, they scan the bag, specify where they are, and what they're doing (e.g. drop-off at T2 interline connection point). Another BPM is generated, and another transaction is recorded. The outbound airline (or their ground handler) comes to the interline connection location, picks up their bag (possibly scanning it with their own system), and brings it to the outbound flight to be loaded.

There are two points that this case study helps to demonstrate in terms of Resolution 753. First of all, regardless of the process, the agreements between the carriers defines which of the scans (e.g. pickup or dropoff) are the delivery and acquisition between the carriers. Two airlines may agree that once the interline ground handler delivers the bag (i.e the dropoff scan at T2) that single transaction is both the delivery and acquisition. Other airlines may agree that the pickup scan is the delivery and acquisition. Airlines should aim to agree a single tracking points to indicate custody change.

Secondly, regardless of the agreement between carriers, additional scans are useful for the tracking of the bag through the airport. The outbound carrier knows that bags are usually dropped off within 10-15 minutes of the pickup scan. They can monitor the pickup (either in the interline system or in another system which received the BPMs) and plan to ensure the bag makes it onto the plane. If, on the other hand, the bag never arrives, the tracing of the bag's journey through the airport has more touch points. This helps narrow down where the bag was lost, and therefore recover it.

## 15 Appendix D – Treatment of Irregularities Operations

As a general rule, the treatment under Resolution 753 of baggage irregularities, and processes that deviate from normal operation, should be looked at in precisely the same way as normal hold baggage.

- If the bag is taken from the passenger, the event should be recorded.
- If custody of the bag changes from one carrier to another or is delivered to a transfer point agreed by both carriers, then it should be recorded.
- If the bag is loaded on departure, it should be recorded.
- If the bag is delivered to a passenger, then the event should be recorded.

How the Member Carriers process the bags before and after these particular touch points is for the carrier to decide as specific baggage handling processes for irregular baggage operations is outside the scope of this document.

This Appendix is intended to given some direction in the handling of some baggage irregularities, but only in how their processing might be affected by resolution 753 obligations.

### 15.1 Mishandled Baggage

When a bag is mishandled, in the majority of cases (from a baggage handling perspective) the bag is simply reflighted and then eventually inserted into the normal departure bag handling process for loading onto the new flight. For Resolution 753 (leaving aside any obligation under various baggage mishandling best practices or resolutions):

If the new flight is operated by the original carrier, then:

- No new acceptance tracking is needed, the original acceptance from the passenger still applies
- No baggage exchange tracking is needed as it's the same carrier holding custody.
- The loading of the reflighted bag on the new flight should be recorded
- A record of the delivery of the bag to the passenger at their home or hotel (as opposed to an arrival belt) will be required.

If the <u>new flight is operated by a different carrier</u>, then:

- No new acceptance tracking is needed, the original acceptance from the passenger still applies
- A record of a physical bag exchange or delivery to an agreed transfer point should be recorded.
- The loading of the reflighted bag on the new flight should be recorded by the new carrier
- A record of the delivery of the bag to the passenger at their home or hotel (as opposed to an arrival belt) will be required.

In the rare occurrence where a mishandled bag is encountered at an airport where none of the interline partners operate it is suggested that:

- The carrier that agrees to transport the bag will need to record an acceptance of the bag (or a
  custody change) to confirm that they now have and will process the physical bag. An "On Hand"
  message might suffice but only if the carrier recording this message was going to perform the bag
  transport.
- That transporting carrier will need to record the loading of the bag on the departing aircraft
- The custody exchange of the bag by the next carrier or the delivery of the bag to the passenger will need to be recorded.

In all of the above, the principles of Resolution 753 are consistently maintained, with required touchpoints being recorded.

### 15.2 Departure Gate Bags

If a bag is taken from a passenger at the gate as opposed to delivery into the standard baggage handling process from check-in, then this event should be specifically recorded to comply with Resolution 753 (acceptance from passenger). This would apply to bags checked in and then taken to the gate, as well as bags checked in at the gate.

After this acceptance, any loading, custody exchange and standard delivery at the final destination should be handled in the same way as all other hold baggage from a resolution 753 tracking viewpoint.

### 15.3 Arrival Gate Bags

If a checked in bag is delivered to a passenger at an arrival gate as opposed to being delivered to an arrival carousel, then this return to the passenger should have a distinct process for recording the delivery of the bag.

### 15.4 Tag-less bags

The specific handling of tag-less bags has no special treatment under resolution 753. If the entity resolving the re-tagging was the carrier who is currently holding custody of the bag, then the bag simply enters the normal handling process when re-tagged and the resolution 753 tracking points will apply again, from that point onwards.

If the entity retagging the bag is one of the interline carriers for the bag, but no formal custody change or transfer has occurred, then they should record the bag as now being in their possession (thus confirming the custody change) and then process the bag as normal.

In the rare occurrence where the entity retagging the bag is not involved in the formal transport of the bag it is suggested that the carrier will need to record an acceptance of the bag (or a custody change) to confirm that they now have and will process the physical bag.

## 16 Appendix E – Baggage tracking action sheet

#### **Baggage Tracking Documents**

The key documents related to baggage tracking are:

- IATA Resolution 753/A4A Resolution 30.53
- Baggage Tracking IATA Resolution 753/A4A Resolution 30.35 Implementation Guide (including the list of industry providers recommended by IATA)

Please visit <u>www.iata.org/baggage</u> or contact IATA (<u>baggage@iata.org</u>) to obtain a copy of these documents.



The below considerations and questions are indicative and do not represent an exhaustive list to help key stakeholders in the aviation industry prepare for IATA Resolution 753 / A4A Resolution 30.53.

The considerations and questions are following the requirements contained in IATA Resolution 753 / A4A Resolution 30.53 which are processed based and not technology based.

Each airline and airport location has its own specificities and these should be taken into account when putting together an implementation plan.

#### **CONSIDERATIONS FOR AIRLINES**

<ul> <li>Define</li> </ul>	your t	racking s	trateg	ıy overal
----------------------------	--------	-----------	--------	-----------

What is the reasoning behind the strategy considered?

Possible tracking strategies are:

- o Airlines rely on airports to drive implementation
- Airlines focus on their hubs
- Airlines focus on their hubs and network
- Airlines focus first on locations with mishandling issues based on baggage tracing system data

•		ggage Tracking implementation at your hub  Are there any plans for 753/30.53 implementation coming from your baggage services partment in your Hub?
		What is the timeline for implementation?
		How is your hub airport implementing 753/30.53 and what are the timelines?
		What is the appropriate forum to discuss baggage tracking at your hub with all the key stakeholders (e.g. AOC – Airline Operators' Committee)?
•	Fou	ur mandatory tracking points
	Che	eck-in
		What are the possible touchpoints where the custody change could be recorded?
		What are the different types of tags issued (e.g. self-service generated tags, normal on-demand baggage tags, etc.)
		What is the process for capturing the tracking information for all the above mentioned bag tags?
		What is the recording method used?
	Loa	ad
		Where do you scan the bags put in sealed containers (e.g. build)? For information, bags that were scanned at build and placed in a sealed container do not need to be re-scanned under the aircraft.
		How do you record the position of sealed containers (ULDs) at the time of the loading?
		How and where do you scan loose loaded bags and how do you transport the loose loaded bags (e.g. baggage trolleys/carts with no netting/cover)? For information, the loose loaded bags need to be scanned onto the aircraft rather than at build.
		What process do you use to reconcile the number of bags you are supposed to load from the check-in information versus the number of bags you have under the aircraft?
	Tra	nsfer
		What is the process to scan the transfer bags?
		Where would it make sense to have the change in custody for the transfer bags (e.g. bag exchange, aircraft unloaded, connecting drop location, BHS)?
		How are the bags scanned for tail to tail operations?

Arr	ivals
	What is the process to scan the bags for carousel delivery?
	How are the bags scanned for passengers receiving their bags at the aircraft stand? This may be the case for strollers and other mobility aids.
	How is the delivery of bags being recorded for passengers receiving their bags at non-airport locations such as their homes?
Bag	ggage Tracking implementation outside the hub (at stations your airline flies to)
It is	recommended to make a list of your stations and assess the readiness for 753/30.53 at every station.
Cor	ntact station managers to find out:
	Are they familiar with Resolution 753/30.53 and the various implementation strategies contained in the implementation guide?
	What is the situation regarding baggage tracking at each station? Has baggage tracking been in place (is the option/solution "available"? And has your airline been "in" (switched on)
	Do you have any existing agreements between your airline, ground handler(s) and airport related to baggage tracking?
	Has Resolution 753/30.53 been discussed through the Airport Operation Committee? Do they have some common approach?
	Is there maybe a common approach to take with some solution alliance partners?
	Which solution might be the optimal for the implementation of Resolution 753/30.53 at each station?
Вас	ggage messaging sharing
	Do you have a plan for sending and receiving baggage messages with other interline carriers involved in the journey?
	(Please note that sending a PDF in an e-mail would not be advised at all for automated airports where RP1745 formatted messages would be better – e.g. BPMs and BMMs).
	Do you know if your airline alliance is planning on having a common approach?

	Do you know how you will agree with each interline carrier how to exchange data (e.g. on-demand vs operational, the information reported – scheduled batch delivery vs on request, data format, frequency and technology used)?
	Do you know how you will store the baggage tracking data?
	Have you contacted your hub airport to help getting some baggage messages?
CONSI	DERATIONS FOR GROUND HANDLERS
It is rec 753/30.	ommended for ground handlers to work with airlines regarding baggage tracking and resolution 53.
	In your view, what role should the ground handler play in the implementation of 753/30.53? Have you identified how you could support the airlines in the implementation of 753/30.53? Have the airlines you serve contacted you regarding the implementation of 753/30.53? Do you have an agreement with the airlines related to baggage tracking?
<u>CONSI</u>	DERATIONS FOR AIRPORTS
	Baggage Tracking implementation at an airport
	Have you received requests from airlines to implement 753/30.53?  Are you engaging with airlines individually or through the Airport Operator's Committee (AOC) or Local Baggage Committee (LBC) for 753/30.53 implementation?  Does your airport/terminal(s) have a Baggage Handling System(s) (BHS)?  Does your airport/terminal(s) have a Baggage Reconciliation System(s) (BRS)?  What airport infrastructure do you have already to support airlines scanning bags at the four mandatory tracking points (acceptance, load, transfer and arrival)?

	Baggage tracking data collection & archiving						
	Bingo Cards	PC/ Laptop	Connected Scanner	LAN	Standalone HHTs	WLAN	3G/4G
Check-in area *Acceptanc e							
Office rooms							
Bag Hall *Load							
Make up Area *Load							
Aircraft *Load							
Offloading Stations *Transfer							
Offloading Stations *Arrival							

Standalone HHT = With WLAN or without Network connection. \* Core / mandatory tracking points

### Baggage message

☐ Do you already have the baggage tracking data needed? For example:
<ul> <li>Acquisition of the bag</li> <li>Tracking data from sorting process</li> <li>Induction of transfer bags</li> <li>BRS loading operations</li> <li>Common Use arrival tracking facilities</li> </ul>
☐ Is your airport capable of exchanging baggage messages with airlines?
☐ How could an airline receive messages from your airport (e.g. Message Distribution System, Enterprise Service Bus, Message Queues)?

☐ What process does your airport use to exchange baggage information?

	Data Transmission				
	Email	BRS report	Baggage Processed Message (BPM)	No message available	Other
Check-in area *Acceptance					
Office rooms					
Bag Hall *Load					
Make up Area *Load					
Aircraft *Load					
Offloading Stations *Transfer					
Offloading Stations *Arrival					

<sup>\*</sup> Core / mandatory tracking points

•	Common use and Baggage Management System (BMS) considerations
	☐ Does your airport have a common use infrastructure for baggage processes?
	☐ Do you use generic scanners to record data into a BMS?
	☐ Does it trigger events to send BPMs to carriers own systems?
	☐ Are you ensuring that as many elements as possible are captured (e.g. weight)?
•	Implementation plan for 753/30.53
	☐ Do you have plans to support airlines implementing 753/30.53 at your airport?
	☐ Do you have a timeline for implementation?
	For more information, please check the ACI World website at: <a href="http://www.aci.aero/About-ACI/Priorities/Airport-IT/Initiatives">http://www.aci.aero/About-ACI/Priorities/Airport-IT/Initiatives</a>

## 17 Appendix F - Resolution 753/30.53 and Airport Charges

As per ICAO's guidance (para 6.16 of doc 9562 refers), investment in an enhanced baggage handling system (baggage infrastructure) may reduce the number of agents required in the future thereby reducing future operating costs. Transportation efficiency benefits may also accrue to the air carriers and would include savings arising from the quicker turnaround of aircraft, and possibly greater service reliability and predictability.

As a result, any improvement in baggage's handling systems would result in lower costs for the users and cannot justify an increase in the level of charges. Conversely, long-term reduction in the cost-base of charges should ensued.

In any case and in line with ICAO's policies on charges in Doc 9082, any cost pertaining to baggage handling system and passed onto users through charges must be non-discriminatory, subject to meaningful consultation with the airlines and their representative organizations (respectively in between all parties concerned), related to the efficient cost of providing the facilities and services, and transparently justified.

For any specific airport charges related query in relation to the implementation of Resolution 753 at your particular airport please contact IATA Airport Charges team: <a href="mailto:aviationcharges@iata.org">aviationcharges@iata.org</a>

# **18List of IATA Strategic Partners**

Company	Website	Main Contact
Amadeus IT Group, SA	http://www.amadeus.com	Mr. Brad McAllister Director, Marketing brad.mcallister@amadeus.com
ARINC Inc. (Rockwell Collins)	https://www.rockwellcollins.com	Mr. Tony Chapman Senior Director Strategic Partnerships tony.chapman@arinc.com
Avery Dennison	www.averydennison.com	Mr. Mark Summers  Market Development Manager mark.summers@averydennison.com
Brock Solutions, Inc.	https://www.brocksolutions.com	Mr. Mark Stokes Business Develop. Mgr mstokes@brocksolutions.com
CORE Travel Technologies, Inc.	http://core-tt.com/en/	Mr. Eric Diller Director, Marketing ediller@core-tt.com
DS Tags Group BV	http://www.dstags.com/	Mr. Pieter Stor CEO pieter.stor@bagtag.com
Lyngsoe Systems A/S	http://www.lyngsoesystems.com	Mrs. Kristine Koldkjaer Product Manager kpk@lyngsoesystems.com
NetTracer, Inc.	http://www.NetTracer.aero	Mr. John Spears Vice President jspears@nettracer.aero
NXP Semiconductors Austria GmbH	https://www.nxp.com/	Ms. Susanne Schadler Global Marketing Manager susanne.schadler@nxp.com
Quantum Aviation Solutions	http://quantum.aero	Mr. David Kennedy President david.kennedy@quantum.aero
RESA Airport Data Systems	http://www.resa.aero/	Ms. Nadine Caramelle Technical Director nadine.caramelle@resa.fr
Rimowa Electronic Tag GmbH	http://rimowa- electronictag.com/en- DE/start?continent=NA	Mr. Sven Lepschy CEO <u>Slepschy@mac.com</u>
Samsonite Europe NV	http://www.samsonite.com	Mr. Arne Borrey President & Managing Director Saba.Rakhshandehroo@samsonite.com

		Ms. Bengt Mueck
Siemens Postal, Parcel &	http://www.siemens.com/airports	Product Lifecycle Management - Airport
Airport Logistics GmbH		Bengt.Mueck@siemens.com
		Mr. Nigel Pickford
SITA	http://www.cito.coro	Director Marketing Operations and
SITA	http://www.sita.aero	Insight
		Nigel.Pickford@sita.aero
		Mr. Satoshi Takenaka
Toyo Kanetsu Solutions K.K.	http://www.toyokanetsu.co.jp/global	Executive Corporate Officer
		takenaka@toyokanetsu.co.jp
		Ms. XinXin Zhang
TravelSky Technology Ltd.	http://www.travelsky.net/	zhangxinxin@travelsky.com
		Mr. Shaun Penton
Ultra Electronics Airport	letter of the control	
Systems	https://www.ultra-as.com	Portfolio Manager
		shaun.penton@ultra-as.com
V	hus II	Mr. Alan Neves
Vanguard ID Systems	http://www.vanguardid.com	National RFID Account Manager
		alan@vanguardid.com
		Rachel Wesson,
Zafire	http://www.zafire.com	Head of Sales & Marketing
		rwesson@Zafire.com

## 19 List of contributors

IATA would like to thank the following contributors to Issue 3 of this Implementation guide:

Name	Company
Chris Blasie	A4A
Serge Yonke Nguewo	ACI World
Stephen Leblanc	Air Canada
Petra Madonna	Amadeus
Alex Peirce	Brock Solutions
Eva Nemcova	Etihad Airways
François Libre	Etihad Airways
Markus Mueller	Fraport
Randy Medina	Hawaiian Airlines
Magali Collot	IATA
Helena Marruescos	IATA
Eleonore Wenzl-Bery	Lufthansa
Juergen Lehmann	Lufthansa
Kathrin Sommer	Lufthansa
Patrick Sherry	Luggage Logistics
Michael Saunders	Lyngsoe Systems
Shaun Penton	Ultra Electronics Airport Systems
Hervé Mandin	RESA
Andreas Krieger	Vienna Airport
Christopher Czirak	Vienna Airport
Andrew Hilton	Westjet

## **20List of Resources**

Resource	Where to find it
IATA Resolution 753	PSCRM Baggage Tracking Implementation Guide
Baggage Tracking Implementation Guide (issue 3, November 2017)	www.iata.org/baggage
Baggage Tracking Presentation	Contact baggageservices@iata.org
Regional workshops delivered by IATA	Contact baggageservices@iata.org
IATA Certificates (Hub, Network)	Contact baggageservices@iata.org
Implementation Plan Review	Contact baggageservices@iata.org
Resolution 753 tracker tool	Contact baggageservices@iata.org
IATA and ACI airport questionnaire for baggage tracking	Contact baggageservices@iata.org
Consultancy services	Contact baggageservices@iata.org
Baggage Tracking – Implementation and Compliance Training Course	IATA Training
IATA 1 year to campaign	www.iata.org