Compilation of Emergency Response Planning Regulations, Standards, and Guidance Applicable to Civil Aviation

Edition 1.0 | July 2021

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# Table of Contents

- **DISCLAIMER**
- Revision Record
- Purpose
- 1. International Instruments and Documents
- 2. Regional and National Regulations and Documents
- 3. Aviation Industry Specific Documents
- 4. Other Relevant Industry Framework
- Index

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2  Compilation of Emergency Response Planning Regulations, Standards, and Guidance Applicable to Civil Aviation
# Revision Record

## Revision Table

<table>
<thead>
<tr>
<th>Revision</th>
<th>Date</th>
<th>Section(s)</th>
<th>Significant Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Edition 1.0</td>
<td>July 2021</td>
<td></td>
<td>First release.</td>
</tr>
</tbody>
</table>
Purpose

The purpose of this document is to illustrate all existing guidance in the field of Emergency Response Planning. This document will be regularly updated, considering the crucial developments and changes related to ERP regulations, standards, and guidance.

The list is divided into four following sections:

- International Instruments and Documents;
- Regional and National Regulations and Documents;
- Aviation Industry Specific Documents; and
- Other Relevant Industry Framework.

Each section contains the name of the organization/owner of the document, brief description, status, tags, and the URL link to the website where the document is published or available for purchase from the publication owner.

For more information, comments, and suggestions related to this document, or if you represent any of the organizations mentioned in this document and would like to engage with us on ERP, please contact us at aviationsecurity@iata.org.
1. International Instruments and Documents

This section is specifically related to the international legal instruments like international conventions that refer, indirectly or directly, to emergency response. Moreover, this section is focused on the International Civil Aviation Organization (ICAO) and its documents that address the topic.

<table>
<thead>
<tr>
<th>Organization</th>
<th>Regulation / Standard / Recommendation Name</th>
<th>Purpose / Comments / Precis</th>
<th>Status</th>
<th>#Tag</th>
<th>Source (URL Link)</th>
</tr>
</thead>
<tbody>
<tr>
<td>International Civil Aviation Organization (ICAO)</td>
<td>Annex 9 – Facilitation</td>
<td><strong>Annex 9 (Facilitation)</strong> embodies the SARPs and guidance material pertaining specifically to the facilitation of landside formalities for clearance of aircraft and passengers, goods and mail, with respect to the requirements of customs, immigration, public health and agriculture authorities. Family assistance provisions have been issued since 2005 and deals with facilitating entry and repatriation of human remains.</td>
<td>Published 15th Edition, October 2017</td>
<td>#SARPs</td>
<td><a href="https://www.icao.int/Security/FAUPages/Annex9.aspx">https://www.icao.int/Security/FAUPages/Annex9.aspx</a></td>
</tr>
<tr>
<td>Doc 9998 Policy on Assistance to Aircraft Accident victims and their families</td>
<td>The ICAO Doc 9998 sets out ICAO policies regarding the provision of assistance to aircraft accident victims and their families. States are encouraged to incorporate these policies when planning, developing, and implementing their legislation, regulations, policies and procedures related to family assistance.</td>
<td></td>
<td>Published 1st Edition, 2013</td>
<td>#Guidance</td>
<td><a href="https://www.icao.int/Meetings/a38/Documents/DOC9998_en.pdf">https://www.icao.int/Meetings/a38/Documents/DOC9998_en.pdf</a></td>
</tr>
</tbody>
</table>
2. Regional and National Regulations and Documents

This section is specifically related to the regional and national regulations, recommendations, documents including strategy and/or guidance related to ERP.

<table>
<thead>
<tr>
<th>Organization</th>
<th>Regulation / Standard / Recommendation Name</th>
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</thead>
<tbody>
<tr>
<td>FAA</td>
<td>Aviation Event Emergency Response Plan Review Checklist</td>
<td>The Aviation Event Emergency Response Plan (ERP) Review Checklist is a tool to help aviation event Inspectors in Charge (IIC) review ERPs for compliance with the requirements of policy 8900.488. In addition to providing a simple means for assessing ERP compliance and identifying strengths and deficiencies, the Checklist provides a standardized means for documenting ERP reviews for record purposes, and it provides a structure for discussions with event sponsors when ERP compliance issues arise.</td>
<td>In Force</td>
<td>#BasicRegulation</td>
<td><a href="https://www.faa.gov/about/initiatives/airs/how/media/Aviation_Event_Emergency_Response_Plan_Review_Checklist.pdf">https://www.faa.gov/about/initiatives/airs/how/media/Aviation_Event_Emergency_Response_Plan_Review_Checklist.pdf</a></td>
</tr>
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</table>
### 3. Aviation Industry Specific Documents

This section is specifically related to the aviation industry documents, including guidance, toolkits, standards, etc.

<table>
<thead>
<tr>
<th>Organization</th>
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<tbody>
<tr>
<td>Title</td>
<td>Description</td>
<td>Published,</td>
<td>#Guidance</td>
<td>Link</td>
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| Disruption Management Manual               | The **Disruption Management Manual** is the key reference for disruption management in aviation and a valuable guidebook for a wide range of professionals. It provides airlines, ground handlers and other aviation stakeholders a directory of guidelines and recommended practices that can support an efficient and seamless accommodation of customers during disruptions. Contents include:  
  - Provisions in case of delay, cancellation, denied boarding;  
  - Passenger claims and liability,  
  - Communication,  
  - Interline considerations on irregular operations (IROPs),  
  - Provisions in case of baggage disruption  
| Crisis Communication in the Digital Age    | The Crisis Communication in the Digital Age is meant to support the communications departments of airlines, airports and manufacturers in dealing with the news media after an aviation accident in the digital age. Topics include:  
  - Communication factors,  
  - Communication structure,  
  - Roles and responsibilities of communication.  
| ERP Public Health Emergencies              | This document contains guidance and checklists for use by air carriers in the event of a public health emergency.                                                                                                                                                                                                                       | 2020        | Guidance              | [https://www.iata.org/contentassets/f1163430bba94512a583eb6d6b24a56/airlines-erp-checklist.pdf](https://www.iata.org/contentassets/f1163430bba94512a583eb6d6b24a56/airlines-erp-checklist.pdf) |
4. Other Relevant Industry Framework

This section is specifically related to the other relevant ERP guidance framework applicable to civil aviation.

<table>
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<tr>
<th>Organization</th>
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<th>Source (URL Link)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fox Rothschild LLP</td>
<td>Fox Rothschild Emergency Response Handbook</td>
<td>The Fox Rothschild Emergency Response Handbook contains the basic advice and resource materials that we have found to be helpful in the aftermath of a transportation accident. It provides a solid basis from which an accident response plan can be developed</td>
<td>Published, Sep 2020</td>
<td>#Guidance</td>
<td><a href="https://foxrothschild.gjassets.com/content/u">https://foxrothschild.gjassets.com/content/u</a></td>
</tr>
</tbody>
</table>
Index

1. International Instruments and Documents
   International Civil Aviation Organization (ICAO)
   - Annex 9- Facilitation
   - Annex 13- Aircraft accident and incident investigation
   - Doc 9481- Emergency Response Guidance for Aircraft Incidents Involving Dangerous Good
   - Doc 9973- Manual on Assistance to Aircraft Accident Victims and their Families
   - Doc 9998- Policy on Assistance to Aircraft Accident victims and their families.

2. National Regulations and Documents
   United States: Federal Aviation Administration (FAA)
   - Aviation Event Emergency Response Plan Review Checklist
   European Union
   - EU Regulation 996/2010 on family assistance

3. Aviation Industry Specific Documents
   International Air Transport Association (IATA)
   - IOSA Standards Manual (ISM), 14th Edition
   - IATA Emergency Response Handbook, 1st Edition
   - IATA Disruption Management Manual, 1st Edition
   - Crisis Communication in the Digital Age (Publicly Available Guidance)
   - Airport Handling Manual, 41st Edition
   - ERP Public Health Emergencies Guidelines (Publicly Available Guidance)

   Airports Council International (ACI)

4. Other Relevant Industry Framework
   Fox Rothchild LLP
   - Fox Rothchild Emergency Response Handbook