

Risk-based IOSA

Newsletter | October 2022



In today's dynamic environment, airlines need an [IATA Operational Safety Audit \(IOSA\)](#) that focuses on a deeper understanding of current and potential safety risks. A [risk-based approach](#) will tailor the audit scope for each airline audited under IOSA. Furthermore, the new approach introduces a maturity assessment of an airline's safety-critical systems and programs.

This newsletter provides information and updates regarding the IOSA move to a risk-based audit program.

Risk-Based IOSA Audits in 2023

- IATA to perform 20 risk-based audits in 2023.
- **IOSA Operator Alert 28, [here](#), outlines the policy through which operators will be identified for these audits. IATA will contact those operators that have been identified by the policy set out in the Operator Alert.**
- Risk-Based IOSA audits are not applicable to initial registration audits.
- Operators are requested to consult IOSA Operator Alert 28 to obtain relevant information regarding the identification of airlines selected to undergo risk-based audits in 2023.

Auditor Engagement and Training

- IATA has recruited qualified auditors to form part of the upcoming risk-based IOSA trial audits.
- Under the risk-based approach, auditors were trained in the new maturity assessment; related audit methods; as well as enhanced auditor skills.
- More information on how to become an auditor under risk-based IOSA is available [here](#).

Operator Questionnaire

- The IOSA operator questionnaire was introduced as part of the IOSA Support Program during the pandemic.
- **The questionnaire has been revamped and will be released shortly.**
- The new questionnaire will:
 - provide a standard template for code-share partners to exchange information
 - replace the operator questionnaire which has been in place
 - replace the IOSA self-evaluation form SAR.F17
 - feed the risk-based IOSA process of an IOSA registered operator.

Operator Webinars

- IATA has delivered a number of public webinars, reaching some 700 individuals.
- The recording of the webinar can be accessed [here](#) after registration.
- Further webinars will be delivered.
- The IOSA Program Office also delivered a presentation on Risk-Based IOSA at the ICAO SkyTalks during the 41st ICAO Assembly. The recording can be accessed on ICAO TV [here](#).



FAQs

Who will perform the Risk-Based IOSA audits during the transition period?

The Risk-Based IOSA audits will be performed by IATA. During the transition period the AO will conduct the traditional IOSA audits.

Is IATA making these changes to generate a profit?

The IOSA Program is intended to support the continuous improvement in aviation safety performance. IOSA is not a commercial product and is not intended to generate a commercial surplus to IATA.

Are Risk-Based IOSA trial audits in alignment with regulations?

The risk-based approach is recognized as the next step in safety oversight to achieve safety improvements. Risk-Based IOSA will enhance the effectiveness of audits; continue to contribute to regulatory safety oversight; and support code-share safety monitoring as the industry safety standard for airlines.

In this context, IATA is in very close contact with key regulators who provide input into the program's evolution. There has been broad support for the change and feedback on the move to risk-based auditing has been positive.

Will the audit fees change under Risk-Based IOSA?

The audit fees will remain unchanged. The variable costs such as travel and accommodation of the auditors will be payable by the operator.

Will the operator have the ability to choose the individual IOSA auditors?

The operator will retain the right to appeal the nomination of an auditor, as stated in the IPM Ed. 13 item 6.2.6.

Will the introduction of Risk-Based IOSA change the closing period for nonconformities?

No. The closing period for nonconformities will remain unchanged.

Will there be a training for operators on the IOSA Risk-Based IOSA audit methodology?

In 2023, IATA will provide Risk-Based IOSA training for operators.



Stay Up-to-date

Other Questions?

Do you have a question that isn't covered by our FAQs? Please don't hesitate to reach out to the IOSA program office by emailing iosa@iata.org. More information about the important changes in the IOSA program is available on the [Risk-based IOSA](#) page.

IATA Safety Conference

The IATA Safety Conference will be held 25-27 October in Dubai, UAE. and the evolution of IOSA, and risk-based auditing, will be discussed along with many other very topical themes. Find more information [here](#) to join the Conference and stay abreast of developments.



Risk-Based IOSA Workshops

In 2023, IATA will hold numerous Risk-Based IOSA workshops worldwide. These will target operators, regulators as well as Risk-Based IOSA Auditors. Stay tuned on and keep watching out for alerts and other information on www.iata.org/risk-based-iosa.

